



# Counterfeit Components..

## Risk & Reality from





# Counterfeit Presentation

**Part 1: Major Source of Threat**

**Part 2: The Threat is Refined**

**Part 3: Threat Mitigation @ SMT**

# Part 1:

**Major Source of  
Threat**



# China Trip 2008



Beijing

Shenzhen

Hong Kong

300 miles



# City of Shenzhen

China's Main Entry Point  
of Counterfeit Electronics  
Into the Global  
Marketplace



# 赛博数码广场

itell 易天 手机

## Nikon

瞬间灵感，即刻成诗

## Oitell 易天

三星 Anycol

香港地產

SONY

中国加油!

减肥

11036605

索尼相机  
CDMA 手机  
维修

指定专营店

本商场三楼

指定专营店

数码相机配件

数码相机维修

Intel Centrino  
英特尔 迅驰

指定专营店

美人

另有少量



**深圳市科讯通电子有限公司**  
电话: 86396328 传真: 86-755-88286835 手机: 13912897628  
展销部电话: 86-755-836782

**W 焯迪电子**  
专营: DIP SMD IC 光藕 三端稳压

**晶振系列**  
专营: 石英 晶振 陶瓷晶振  
贴片元件 振荡器 滤波器等

**东新电子**  
主营: 电子元器件

**瑞利通电子**  
主营: 电子元器件



AMTEL

FUJITSU

MAXIM

SAMSUNG ELECTRONICS

TEXAS INSTRUMENTS

NEC

DALLAS

DAHM



ALTERA

ANALOG DEVICES

MOTOROLA

Intel

ST

TOSHIBA

DAHM

AMD



HARRIS

SOSINTECH

AXLINK

DAHM

DAHM



AMTEL

SSR

HITACHI

HARRIS

SOSINTECH

AXLINK

DAHM

DAHM



LUCENT

MICROCHP

IQR

DAHM

DAHM

CYPRESS

品



(1P) Part No.: 744 540 10



(Q) Quantity: 600

pcs.



(1T) Lot No.:



(16D) Date Code: 2008 - 05 - 22



eiSos® Made in China

compliant  
**RoHS**  
WÜRTH ELEKTRONIK  
[www.we-online.com](http://www.we-online.com)



(1P) Part No.: 744 540 10

(Q) Quantity: 600 pcs.



(1T) Lot No.:

226067610821000

(16D) Date Code: 2008 - 05 - 22



eiSos® Made in China



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**RoHS**  
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eiSos® Made in China





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(Q) Quantity: 600

pcs.



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226067610821000

(16D) Date Code: 2008 - 05 - 22



eiSos® Made in China



服务站

部

电话: 12315

华强电子世界

# Reels of 0402 Case Size Capacitors



松下电容大量现货  
总机 0603 4758 419  
分机 0603 2238 400  
传真 0602 1058 400

宇阳 (EYANG)  
电容 0603 / 0402  
全系列大量现货

个体工商户营业执照

**ATMEL**

AT24C01  
 AT24C02  
 AT24C16  
 AT24C32  
 AT89C51-24PI  
 AT89C51-24PU  
 AT89S51-24PU  
 AT89S52-24PU  
 AT89C55WD  
 AT45DB041D  
 AT89C2051-24PU  
 ATMEGA8L-8PU  
 ATMEGA32L-8PU

**家电IC**

TDA2003A  
 TDA2004  
 TDA2005R  
 TDA2025B  
 TDA2030A  
 TDA2822M  
 TDA7265  
 TDA7293  
 TDA7294  
 TDA7296  
 TDA7377  
 TDA7388  
 TDA8571J

**MAXIM**

MAX202CPE/EPE  
 MAX232CPE/EPE  
 MAX232ACWE/AEWE  
 MAX3232CPE/EPE  
 MAX483CPA/EPA  
 MAX485CPA/EPA  
 MAX487ECPA/EEPA  
 MAX813LCPA/LEPA  
 MAX1487CPA/EPA  
 MAX3082ESA  
 MAX809REUR-T  
 AL422B  
 SJA1000N

**74CD系列**

74HC00  
 74HC14  
 74HC595  
 74HCT02  
 74HCT245  
 74LS02  
 74LS126  
 CD4011  
 CD4013  
 CD4021  
 CD4060  
 CD4066  
 CD4069

**HOLTEK**

HT1380B  
 HT1381B  
 HT9170B/D  
 HT9200A/B  
 HT1621B  
 HT46R47  
 HT6221  
 HT7022-1  
 HT7136-1  
 HT7330A-1  
 HT7533-1  
 HT7536-1  
 LM567

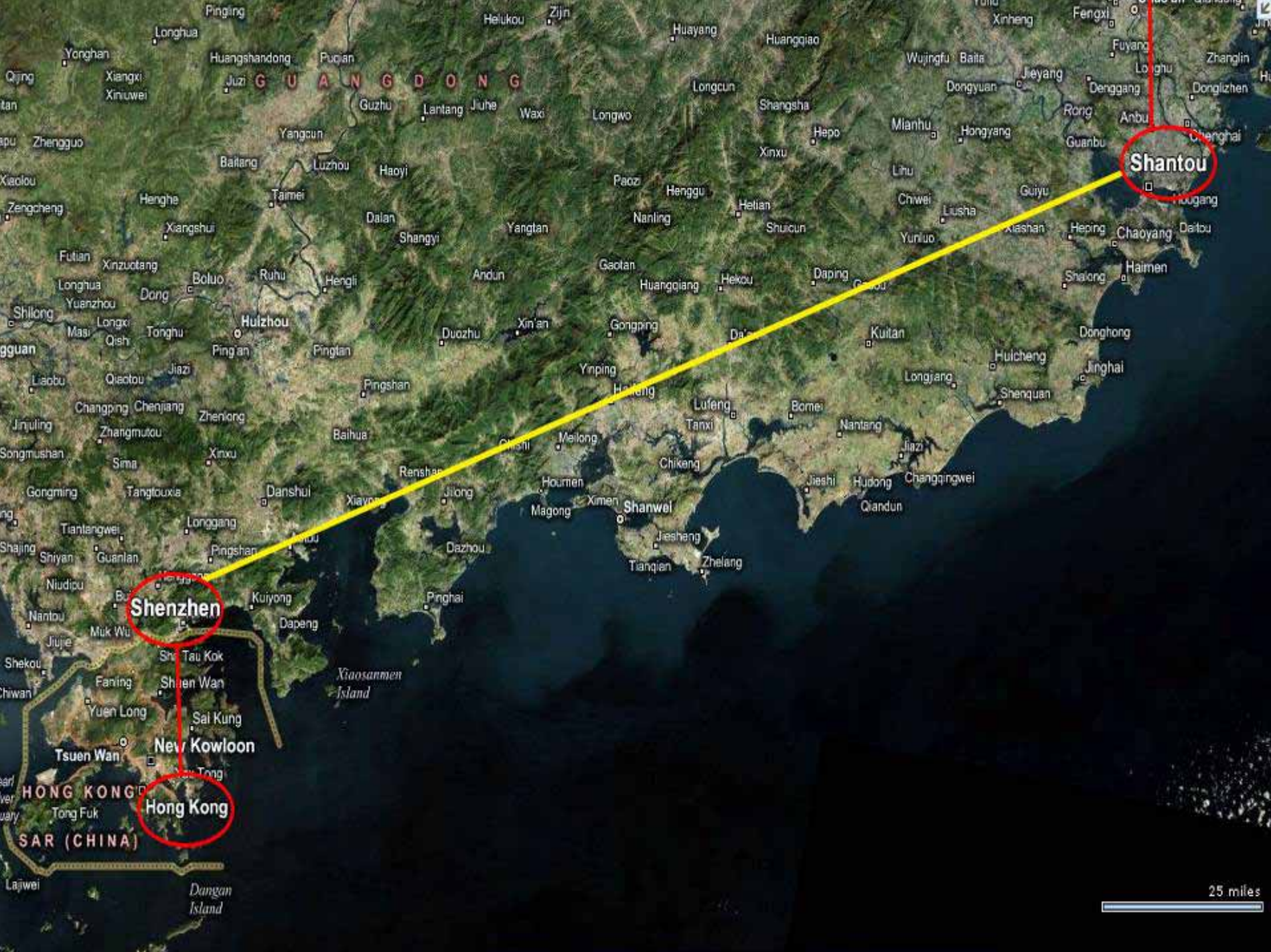
**10A604**

AMS1117-...  
 W77E058A40DL  
 W77E516A40DL  
 W78E058B40DL  
 W78E054B40PL  
 W78E052C40DL  
 DS18B20+  
 DS18S20+  
 DS12887  
 DS12C887  
 DS1302  
 DS1307

**10A604**格-  
GE

10A605





Shantou

Shenzhen

Hong Kong

GUANGDONG

HONG KONG SAR (CHINA)

25 miles



# **Vehicle Inspection Point Just Outside City of Shantou**



陸

新... 行...

...

...

...



**Electronic Debris Stored  
in Front and Back Yards  
Seen While Driving  
Through City Streets**





**Components Being  
Washed in the River &  
Laid on Bank to Dry**

# Components on River Bank Drying



# Washing Components in River







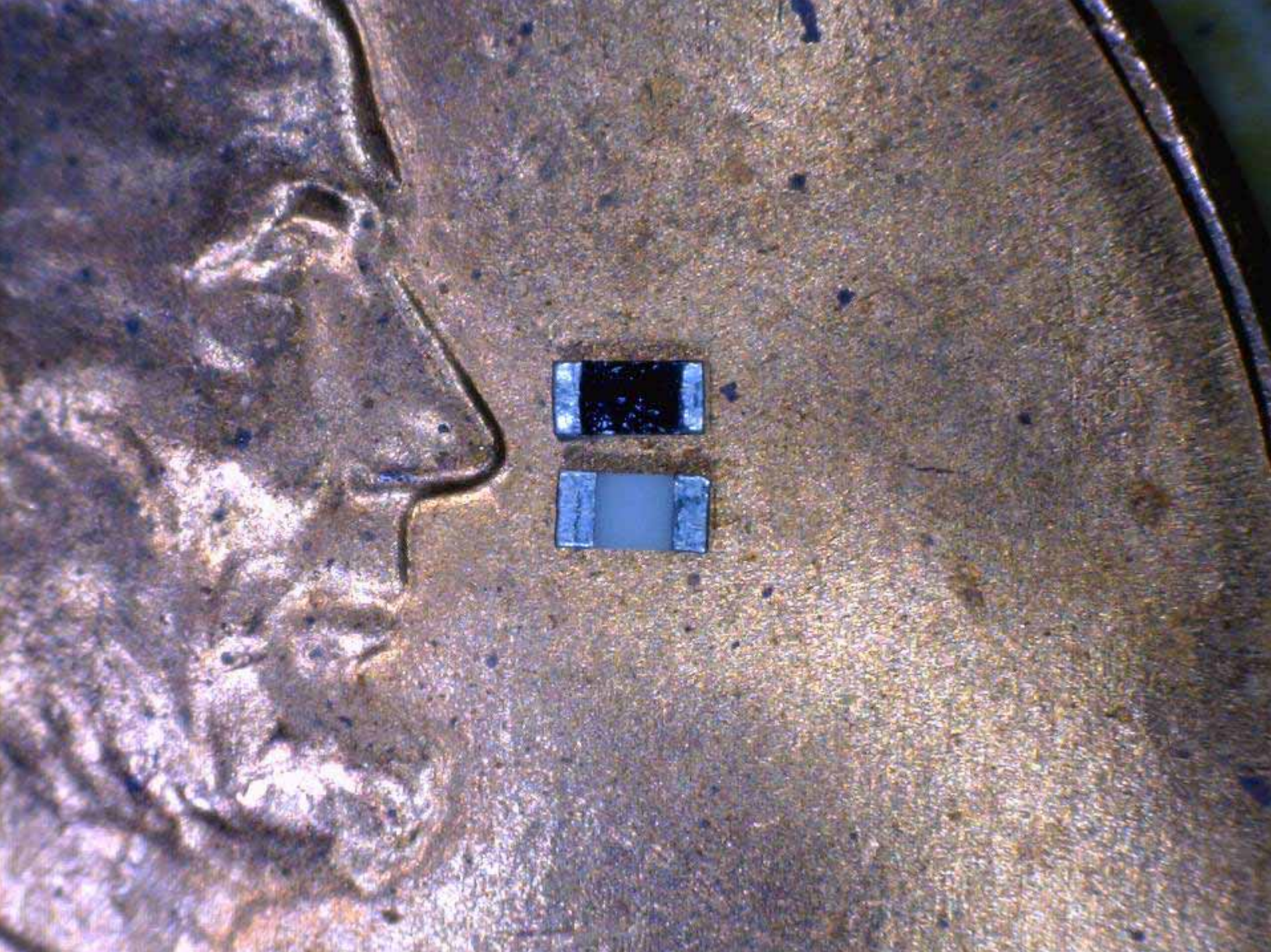
**Pulled Components  
Drying on City  
Sidewalks, then  
Separated by Type**







**0402 Case Size Capacitors  
(\$0.0025 ea from Fran. Disty)**





**Pulled Components  
Stored in Bags, Being  
Delivered and Awaiting  
Processing**



# Floor #1









# **Pulled Components Sorted by Sifting**





# **Shantou Warehouse: Boards Stacked, Waiting for Chip Removal**



红玫

广东中烟工业公司出品  
广州市天河区林和西横路203号





**Targeted Components Have  
Already Been Removed**



# **Pulled Components Prepared for Counterfeiting Process**





弘隆  
0769-5567966

正承





**Remarked Components  
Ready for Counterfeit  
Packaging and  
Distribution thru  
Shenzhen's Global  
Market**

BCS  
29LV800TC  
-70

MEM  
29LV320FE  
-70

LH28F160BVHE  
- TTL90

三丰 DDR  
16x16  
K4D551638F-36  
K4D551638F-40  
K4D551638F-50

三丰 BGA  
K4S561632F-XG75  
K4S511632F-PL11  
K4D2632309-90

DDR  
8x16  
K4D261638F-7c40  
K4D261638I-7c50

DDR  
8x16 16x16  
HY5DV281622ET-J  
HY5DV281622ET-J  
HY5DV281622ET-002

南2 DDR  
NT59SDM16FS-91  
NT59SDM16CS-51

DDR  
8x16  
VT3212162T-6

DDR 16x16  
K4H561638H-U000  
K4H561638H-U001

SF5109180W

W491102A-70



Different date code on bottom

10/24/2008 10:05

2008/10/24 10:03:24

Before permanency tests



10/24/2008 10:05

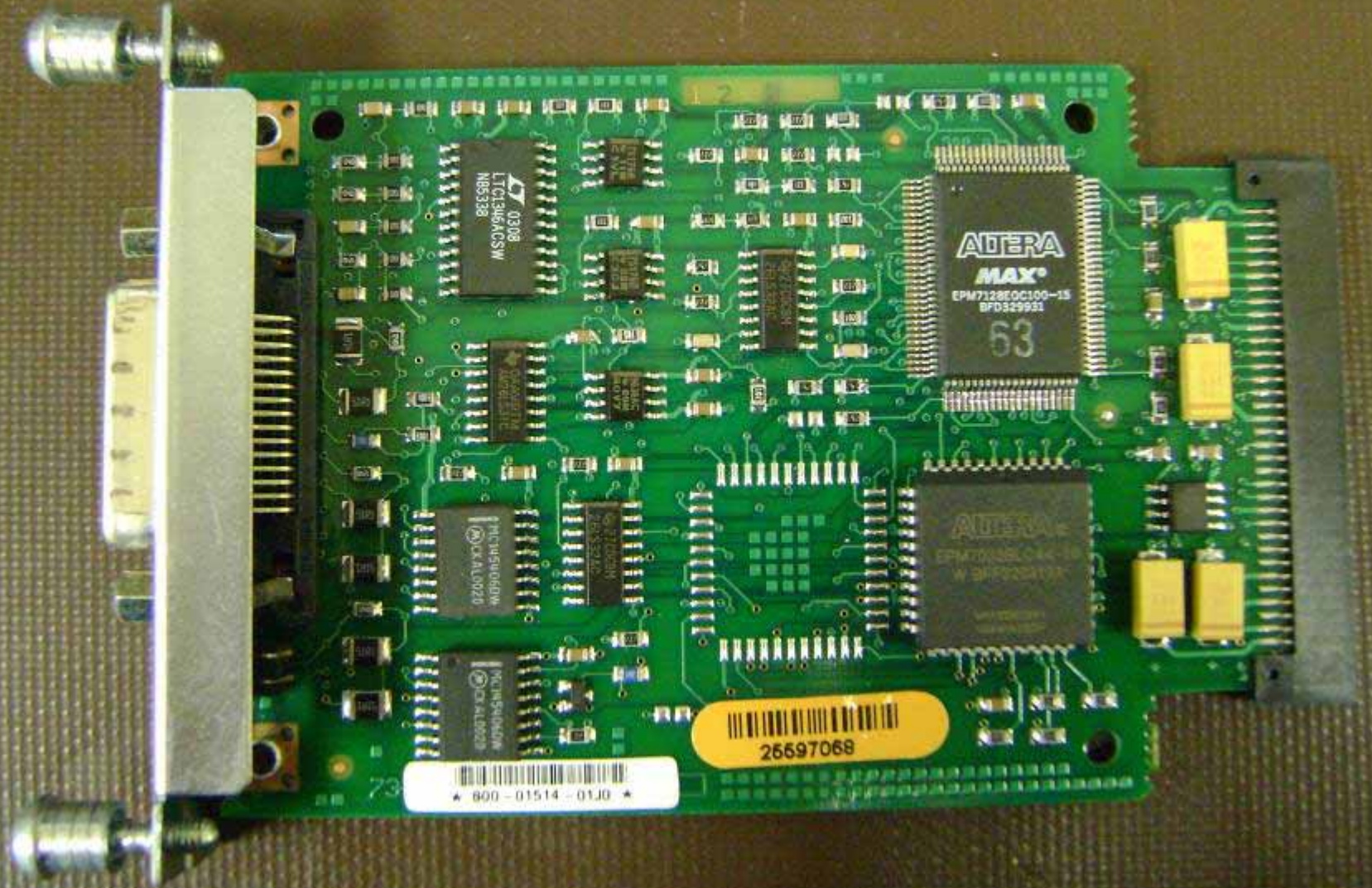
2008/10/24 10:00:41



1997 Parts  
Remarked as  
2006: Nine  
Years Difference



# Counterfeit Cisco Card





# Grim Reality of the Counterfeit Global Distribution Network

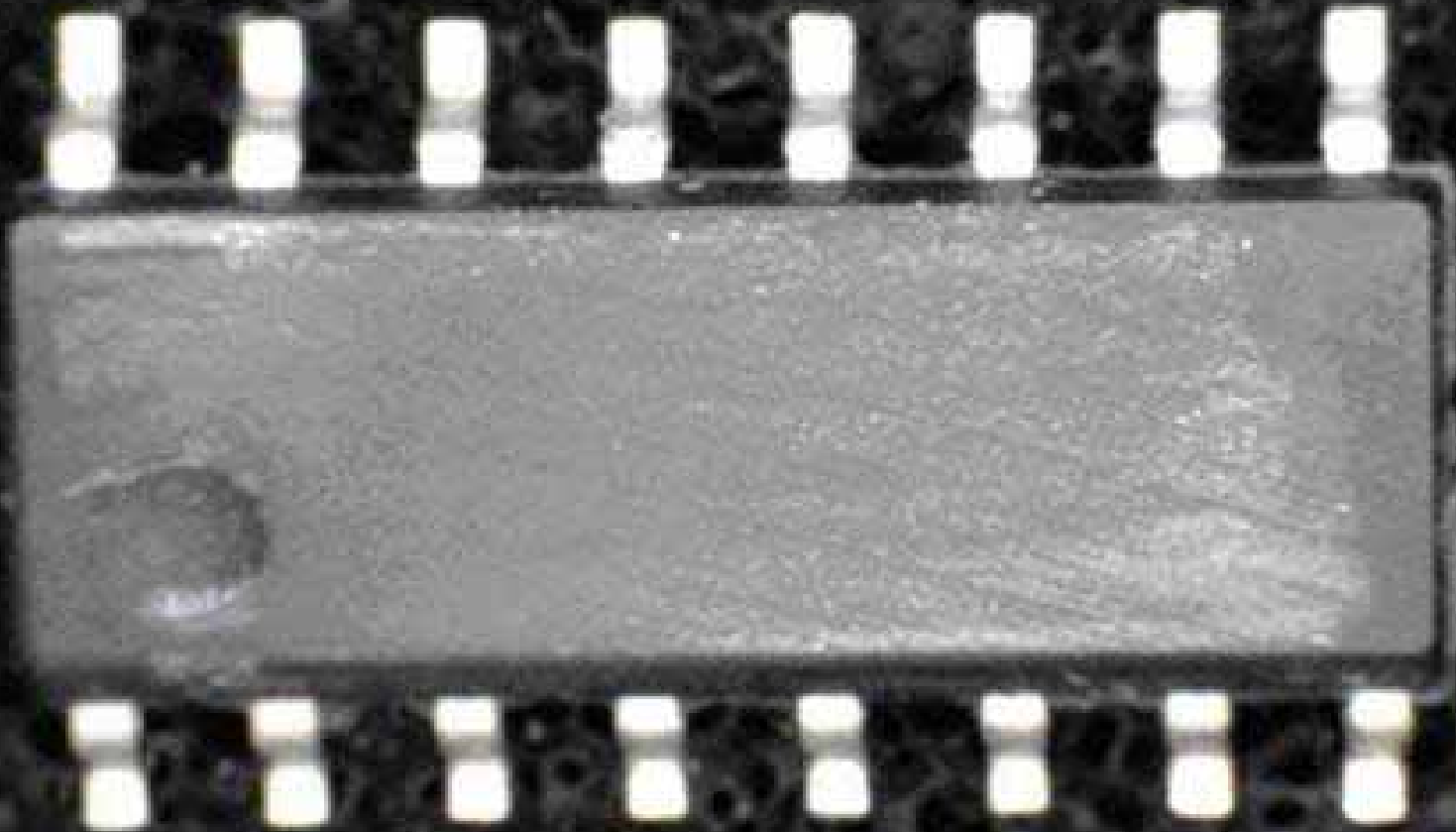




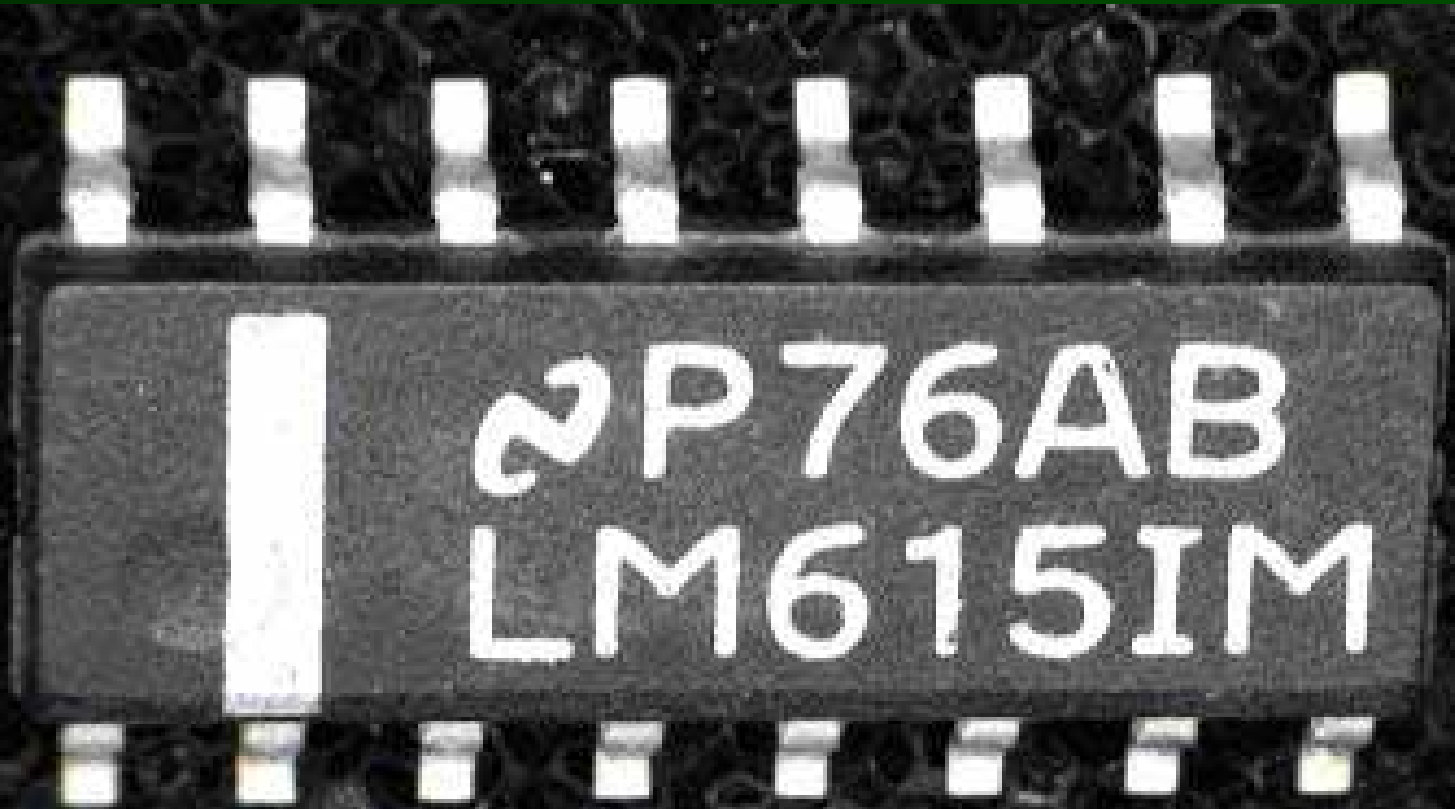
# Life of a Counterfeit Component

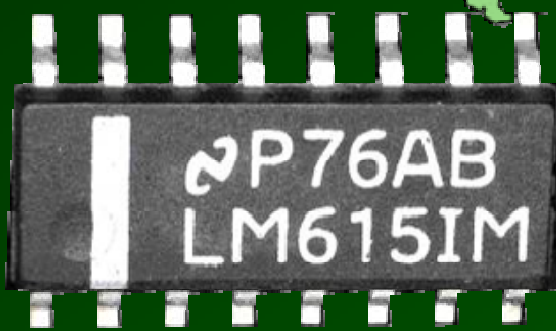
- Created 1<sup>st</sup> week of August, 2010 in Shantou China
- 10,000 Identical (lot/dc) parts
- All were Immediately put up for sale
- Over next 6-12 months the 10,000 Identical (lot/dc) parts have been split up into smaller groups and found new homes around the world

# Before



# After





**This counterfeit lot has found MANY homes Globally over a 6-12 month period**

Life Span to Threaten Industry?

**Indefinite!**





# Counterfeiting: Not Just Components



**Micon**  
Technology, Inc

**Counterfeit  
factory box**



**ATTENTION**  
OBSERVE PRECAUTIONS  
FOR HANDLING  
ELECTROSTATIC



# LOGO AUTHENTICATION

**FAKE**



**REAL**





 MICRON

PART NUMBER:(1P) MT28F004B5V6-6 B



QUANTITY: 1000

DATE:200548

235C NST: 3

(1T) **BYKJ2N7.11**

260C NST: 3



235C Peak Package Body Temp Moisture Level:

Floor Life: One Year Condition  $\leq$  30 deg. C/60% RH

---

260C Peak Package Body Temp Moisture Level:

Floor Life: One Year Condition  $\leq$  30 deg. C/60% RH

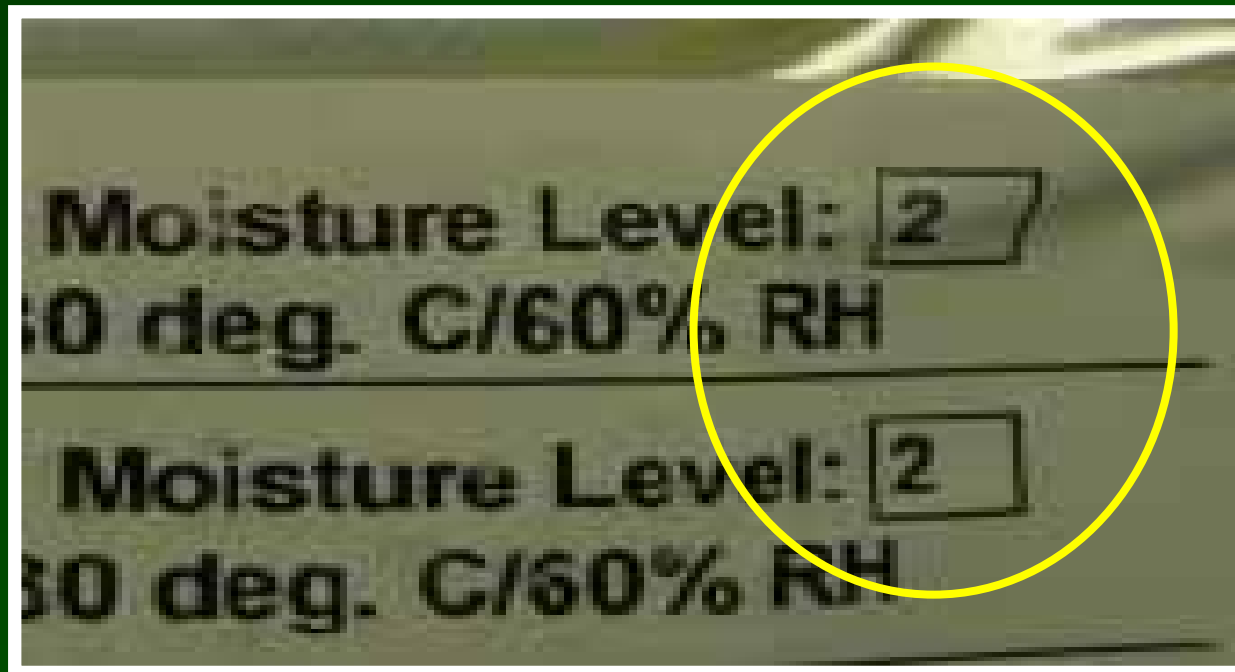
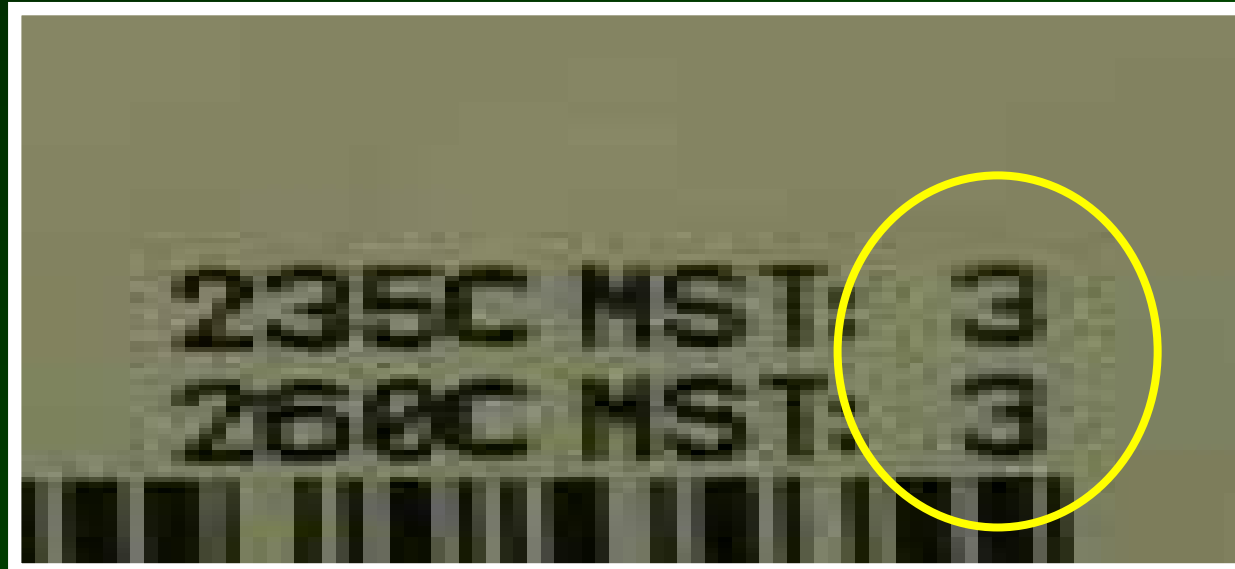
---

Package Seal Datl: Del 02 2005

Operator: JDOE

# Moisture Level

# Inconsistency On “Factory” Labels



# Spelling Errors



**Date**

**Dec**

Package Seal Date: Del 02 2005  
Operator: JDOE

**John Doe??**



**Counterfeit**

**OCM**

**“Certificates of  
Compliance”**

**(C of C)**



**ANALOG DEVICES**

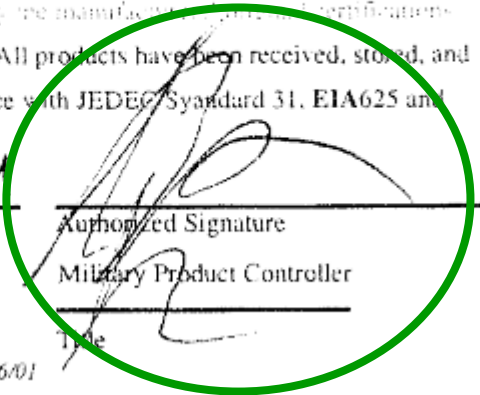
**MIL-STD 883 ATTRIBUTES TEST DATA**

Customer <i>ETEC</i>		Special/Generic <i>AD590MF/883B</i> <i>5962-8757104XA</i>			QC Lot # <i>F28542265</i>			
Date Code <i>0432</i>	Prepared By <i>C. LEE</i>	QC Lot Size <i>429</i>		Ship Qty: <i>250</i>				
<b>VISUAL/MECHANICAL/ELECTRICAL SCREENING</b>	Method	Screen Mil.Std 883: Method 5004	Cond.	Gross	Net	REJ. OTHER	Date	Comments
		INTERNAL VISUAL	✓	<i>604</i>	<i>600</i>			
		TEMPERATURE CYCLE	✓	<i>600</i>	<i>490</i>			
		CONSTANT ACCELERATION	✓	<i>490</i>	<i>490</i>			
		HERMETICITY FINE LEAK	✓	<i>490</i>	<i>431</i>			
		PRE BURN-IN ELECTRICAL	✓	<i>431</i>	<i>430</i>			
	1015	BURN IN	✓	<i>430</i>	<i>429</i>			
		POST BURN-IN ELECTRICAL	✓	<i>429</i>	<i>429</i>			
	2009	EXTERNAL VISUAL	✓	<i>429</i>	<i>429</i>			
	2005	GROUP A INSPECTION						<b>100% TESTED</b>
<b>QUALITY CONFORMANCE INSPECTION</b>	PROGRAM NAMES	PROGRAM NAMES: <i>A13768-2</i>						
Generic P/N <i>AD590MF/883B</i> <i>5962-8757104XA</i>		FAB Date Code <i>0410</i>		Report # <i>M26-452K</i>				
Approved By:						Date: <i>05-28-04</i>		

**MILITARY CERTIFICATE OF COMPLIANCE**

I hereby certify that the products in this shipment are part of shipments covered by the manufacturer's test specifications which are enclosed. All products have been received, stored, and shipped in accordance with JEDEC Standard 31, EIA625 and JEP 109.

Date *5-23-04*  
 Authorized Signature \_\_\_\_\_  
 Military Product Controller



**MILITARY CERTIFICATE OF COMPLIANCE**

I hereby certify that the products in this shipment are part of shipments covered by the manufacturer's test specifications which are enclosed. All products have been received, stored, and shipped in accordance with JEDEC Standard 31, EIA625 and JEP 109.

Date *5-23-04*  
 Authorized Signature \_\_\_\_\_  
 Military Product Controller



RT. 1 INDUSTRIAL PARK, NORWOOD, MA 02062

DATE \_\_\_\_\_ P.O. # \_\_\_\_\_ PART # \_\_\_\_\_

CUSTOMER: \_\_\_\_\_ SALES ORDER \_\_\_\_\_ DATE CODE \_\_\_\_\_

DOCUMENTS ENCLOSED \_\_\_\_\_

INCOMING QUALITY CONTROL INSPECTION DATA

***THESE DOCUMENTS MUST***  
***ACCOMPANY SHIPMENT***

**Counterfeit OCM Cert Envelope**







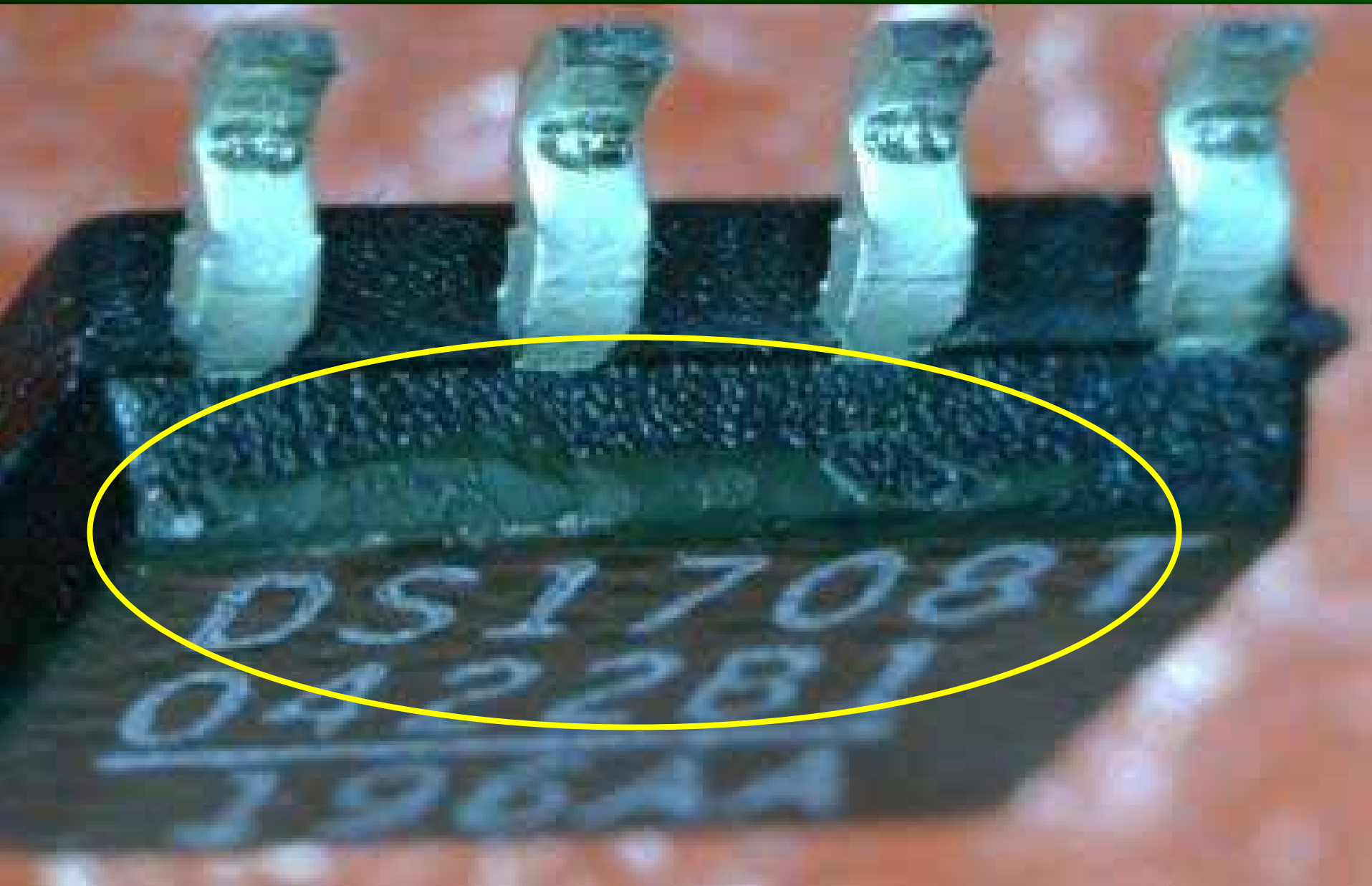
# Over 20 Years of Black-Topping and Remarketing

# Original Date Code 8829



# Remarked Date Code 9115

# SLOPPY BLACK-TOPPING



# Laser-Etch Remarking Still Visible After Black-Topping Has Been Removed

TOP PART MARKING



**After Black-Top Removal**



**Laser-Etch Still Remains**



# Part 2:

**The Threat is  
Refined**



# New Threat!

(July 2009)

**Highly-Engineered Black-Top  
Material Designed to Evade  
Detection on Counterfeited  
Plastic Encapsulated  
Microcircuits (PEMS)**



**Exemplar**  
**Top**  
**Surface**



**Suspect**  
**Top**  
**Surface**





**Exemplar**

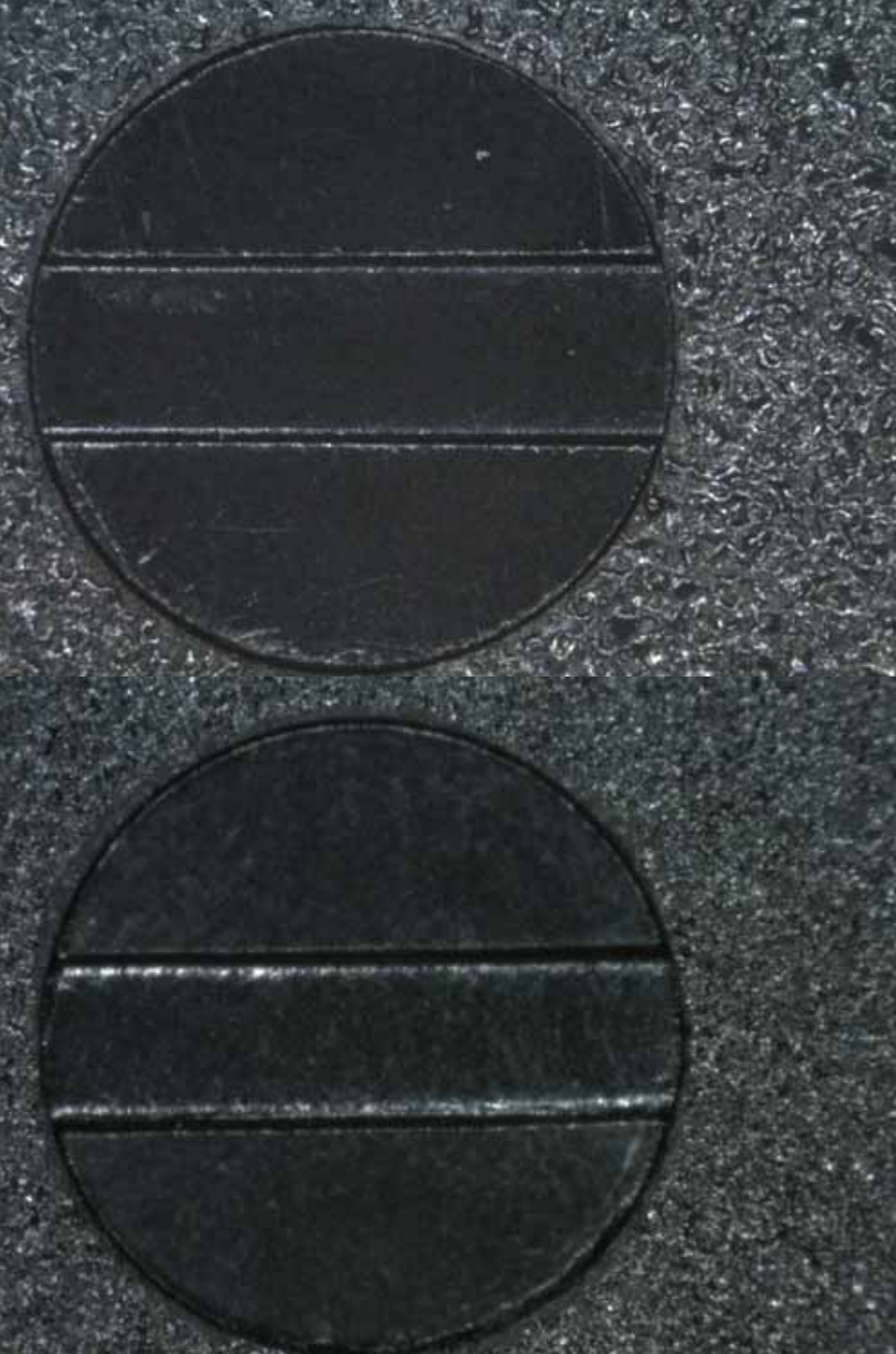
**Top  
Surface**

**Virtually  
Identical Surface  
Features**



**Suspect**

**Top  
Surface**



**Exemplar**

**Pin-One**

**Cavity**

**Suspect**

**Pin-One**

**Cavity**

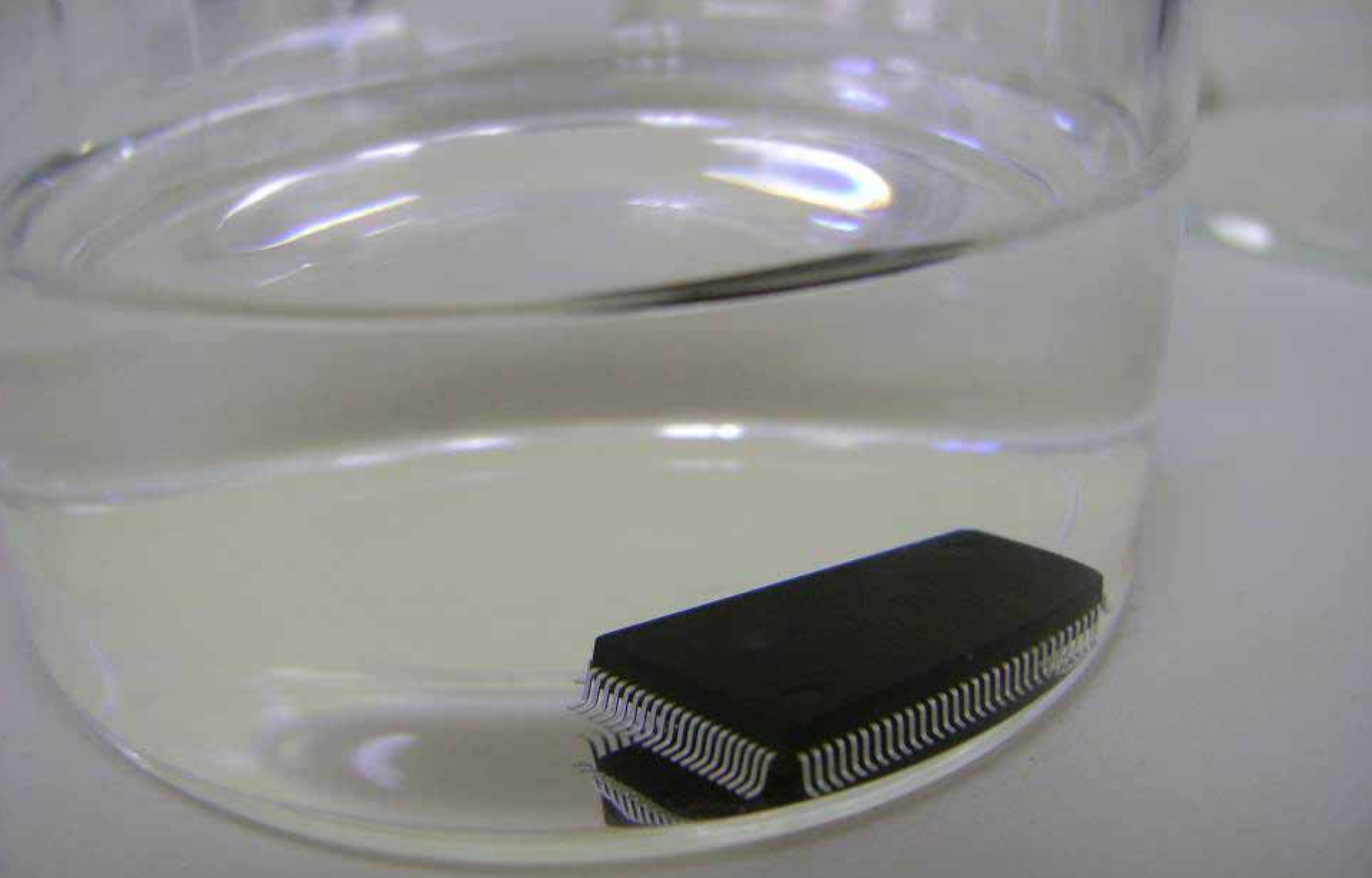
**Suspect Pin-One  
Cavity**



**Suspect**

XILINX  
XC3042A  
P0100BKJ0621  
J2260745A  
71

**Pure Acetone – No Affect**



**Pure Acetone – 7 Day Soak**

**7 Day Soak – Wipe Test Has No Affect**



**XILINX®**  
XC3042A™  
PQ100BKJ0521  
J2260745A  
71

**Suspect**



**New Blacktop Material  
Could Only Be Removed  
With an X-acto Knife**



**Suspect** – scratch test  
removes blacktop material





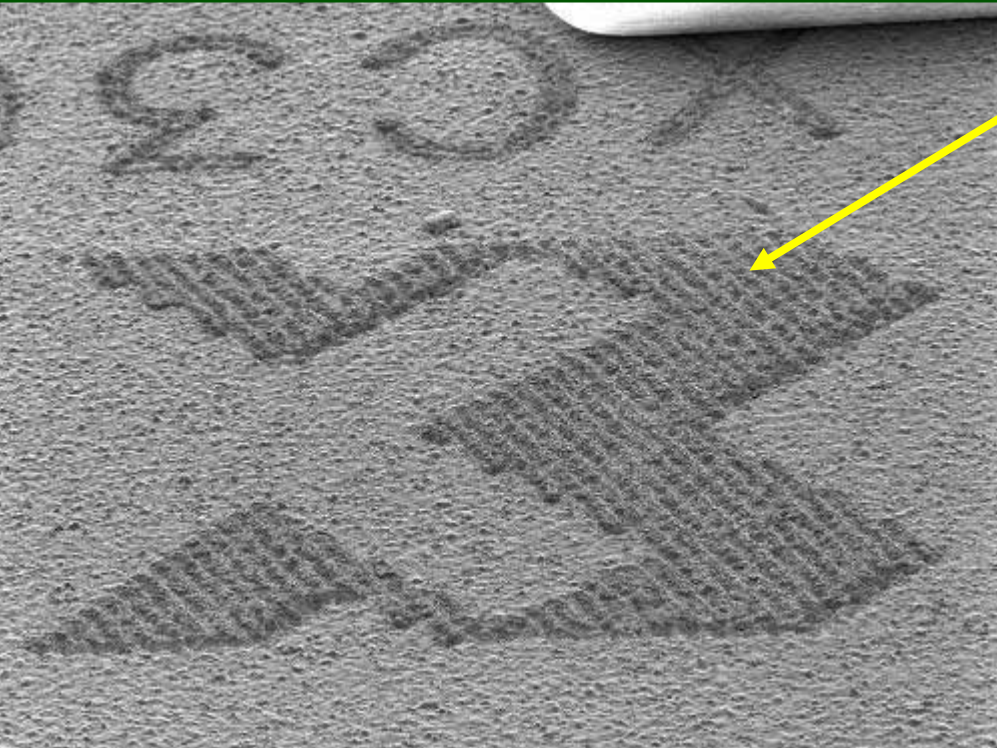


# Scanning Electron Microscope (2 Systems)



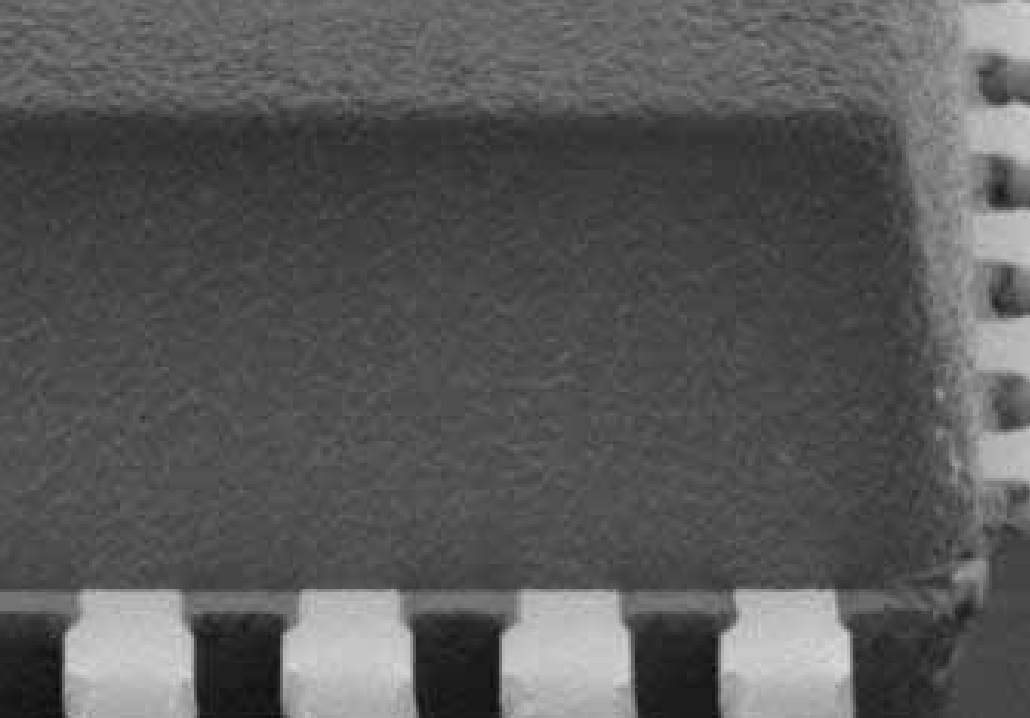


**Exemplar  
Device  
Top**



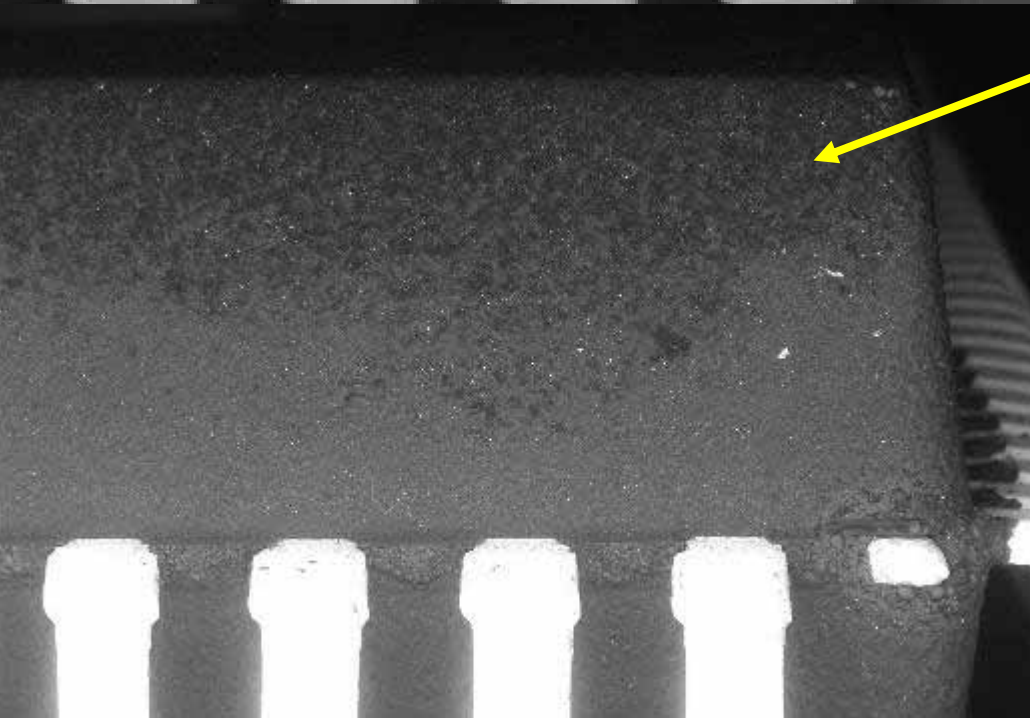
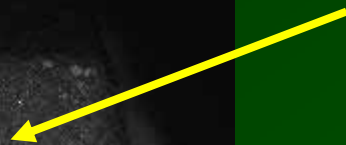
**“Cornrow”  
Etch Process**

**Suspect  
Device  
Top**



**Exemplar**  
**Device**  
**Side**

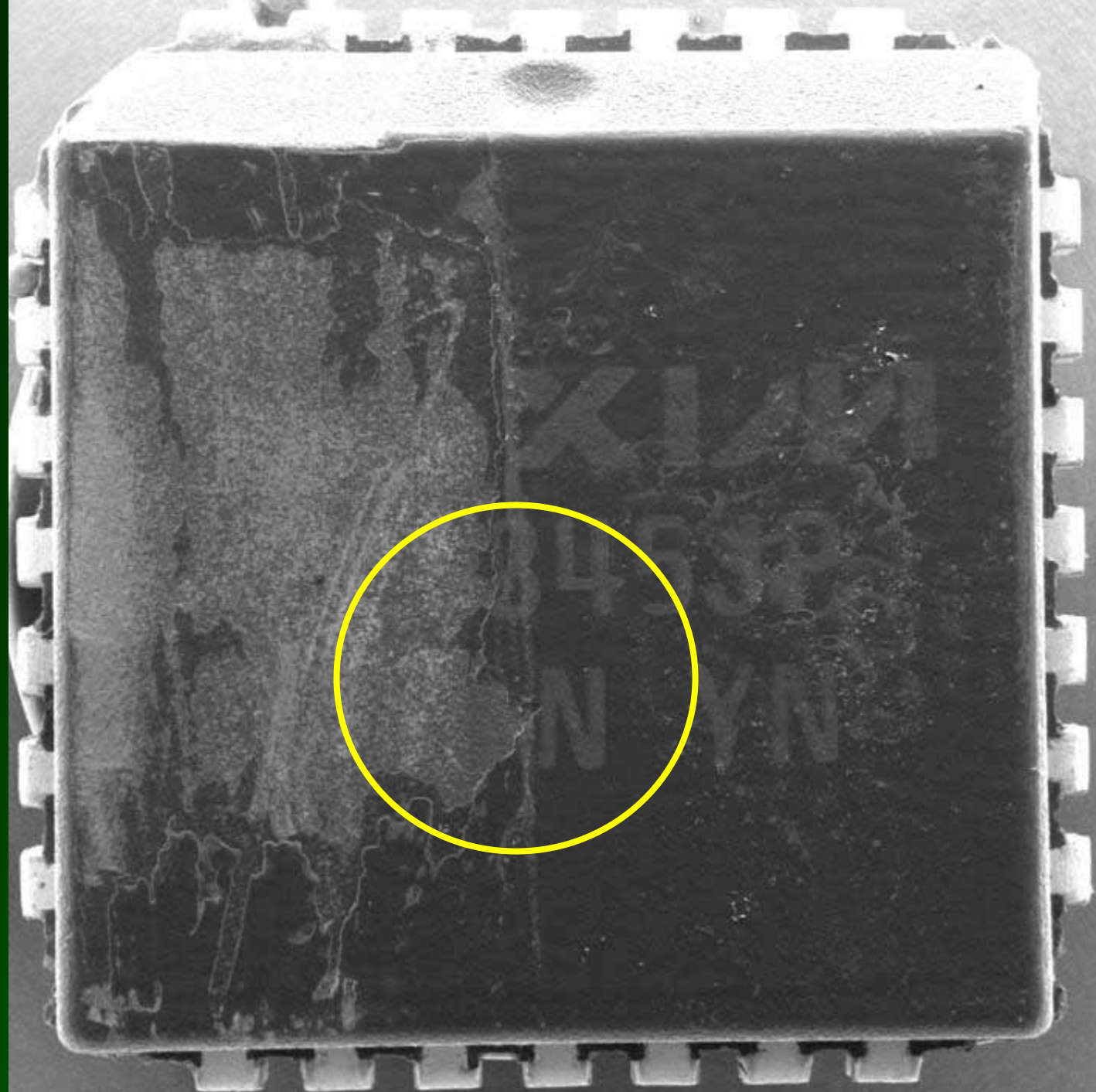
**Overspray**  
**Visible**

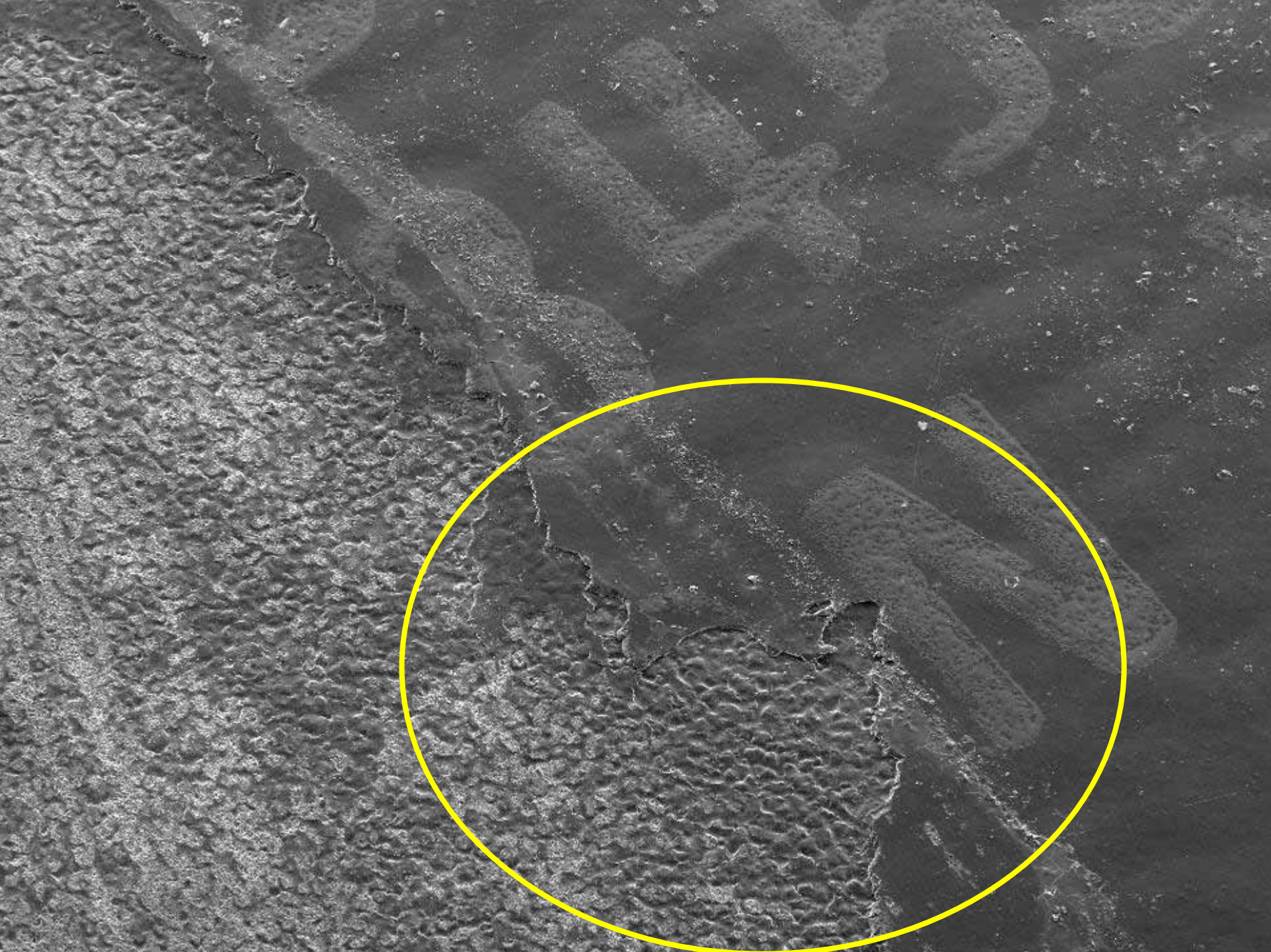


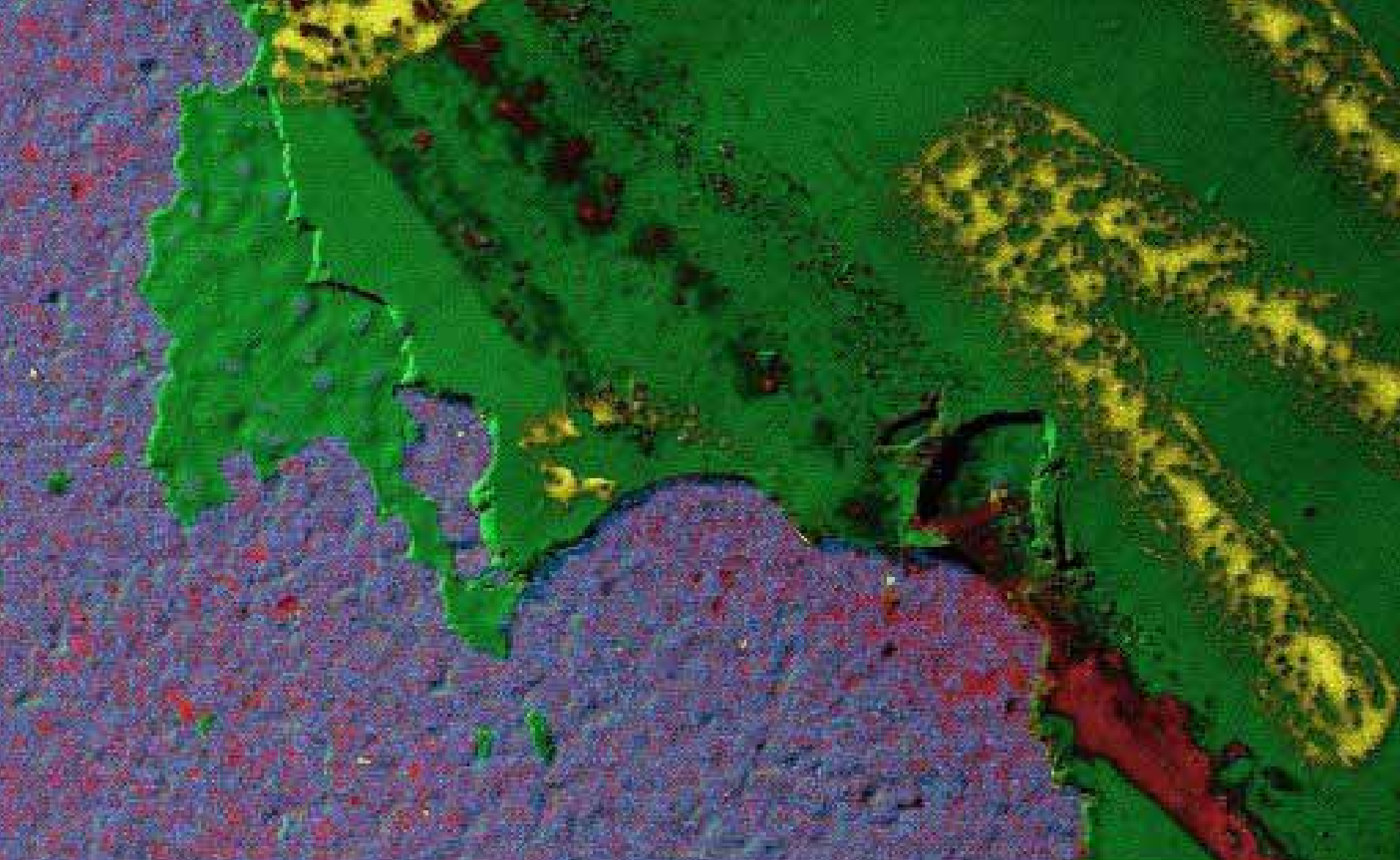
**Suspect**  
**Device**  
**Side**



# Energy Dispersive X-Ray (2 Systems)







**Carbon Silicon Antimony Titanium**





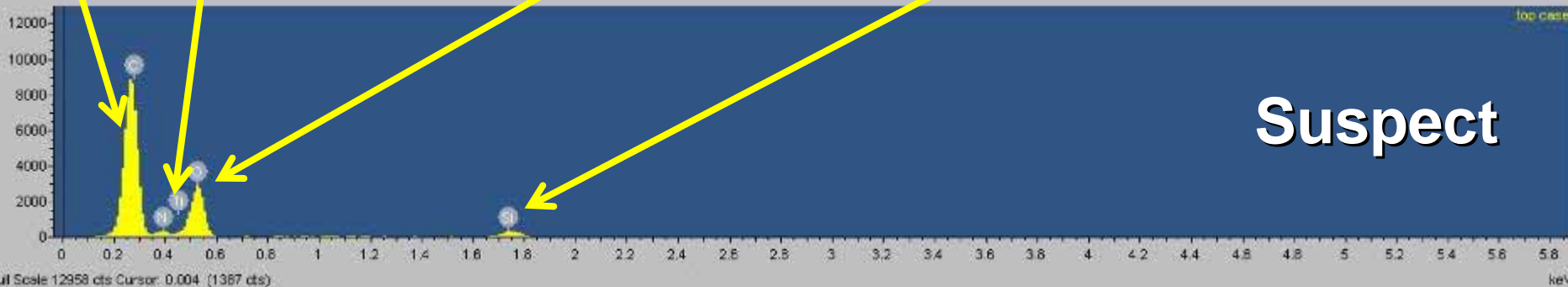
# Spectrum Analysis of the **Suspect** Device Top Surface Area

Carbon

Titanium

Antimony

Silicon

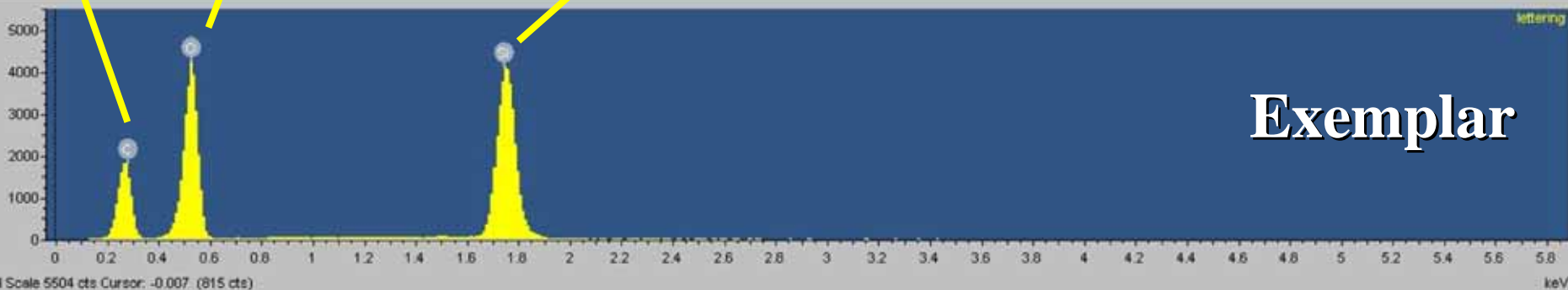




# **Energy Dispersive Spectroscopy (EDX) Test Results on New Blacktop Material**

# Spectrum Analysis of the **Exemplar** Device Top Surface

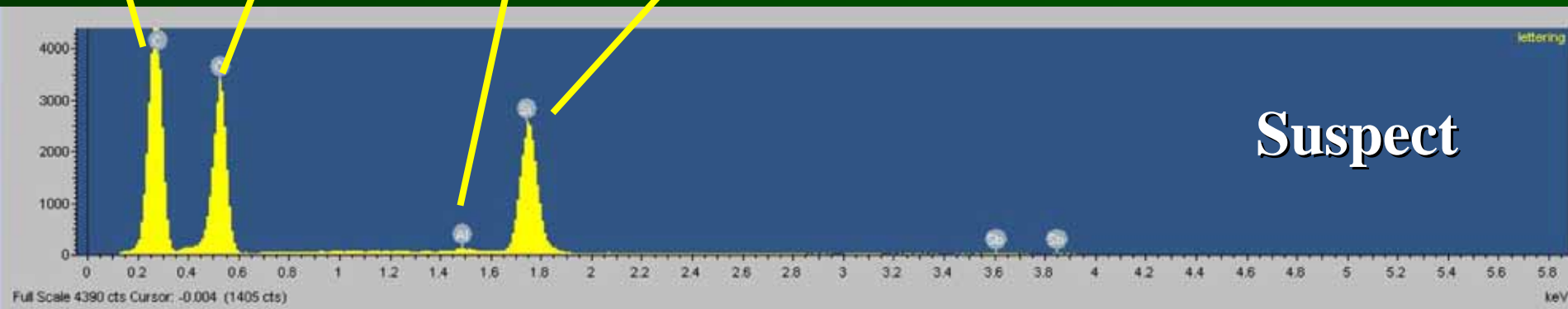
**Carbon**  
**Oxygen**  
**Silicon**





# Spectrum Analysis of the **Suspect** Device Top Surface

**Carbon**  
**Oxygen**  
**Aluminum**  
**Silicon**





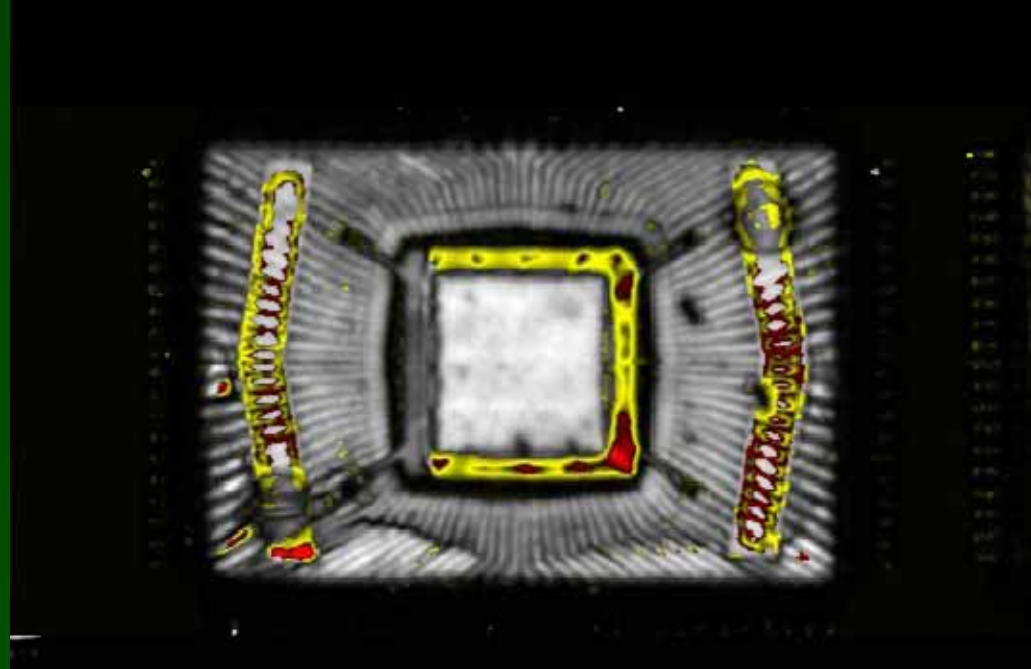
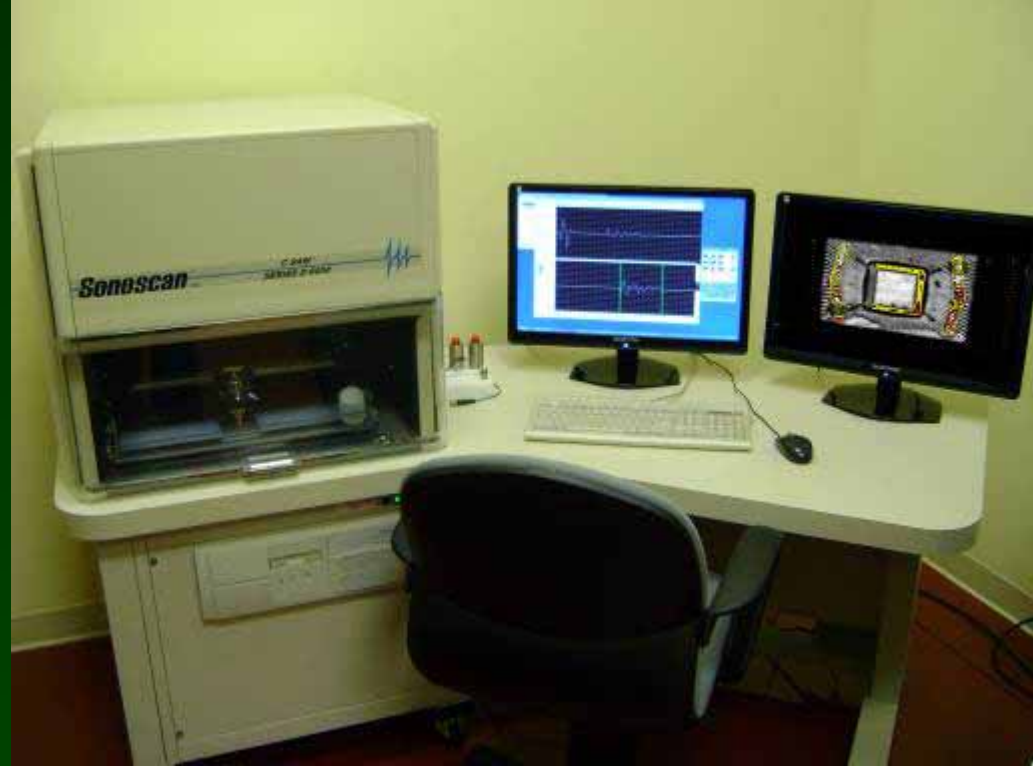
# Scanning Acoustic Microscopy

(SAM)

Internal Imaging  
Results

# Acoustic Microscopy

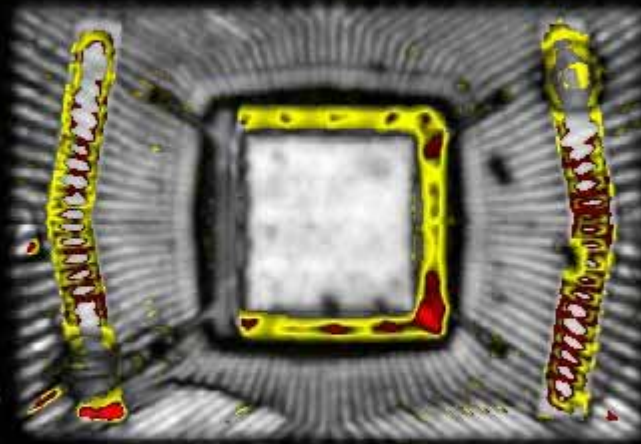
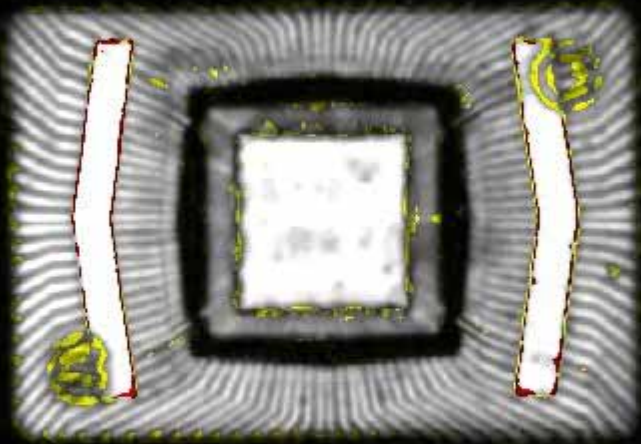
(1 System)

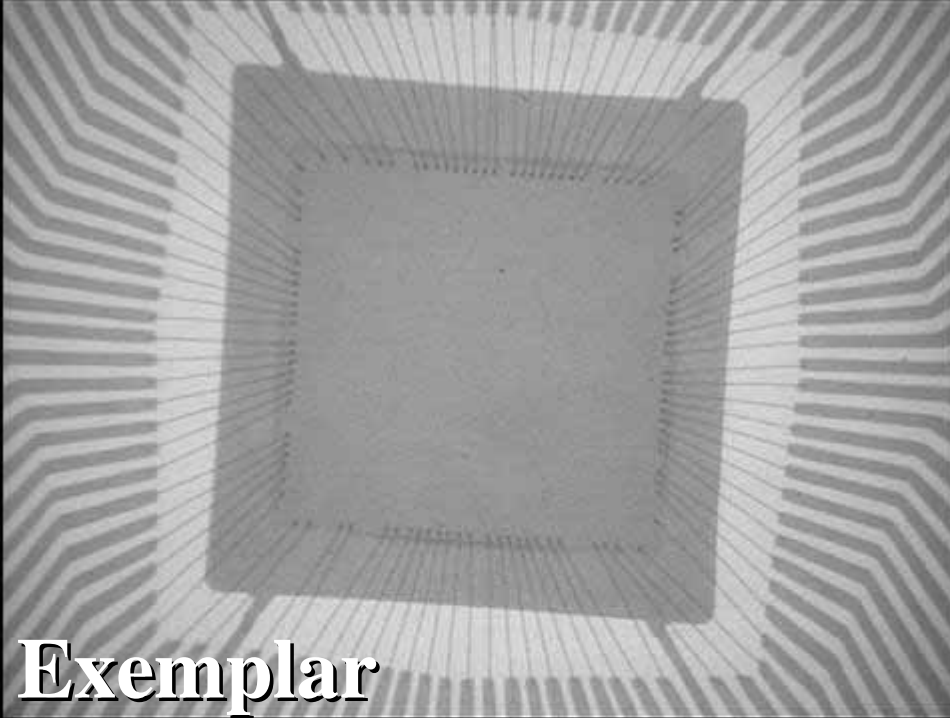


**Exemplar  
Device**

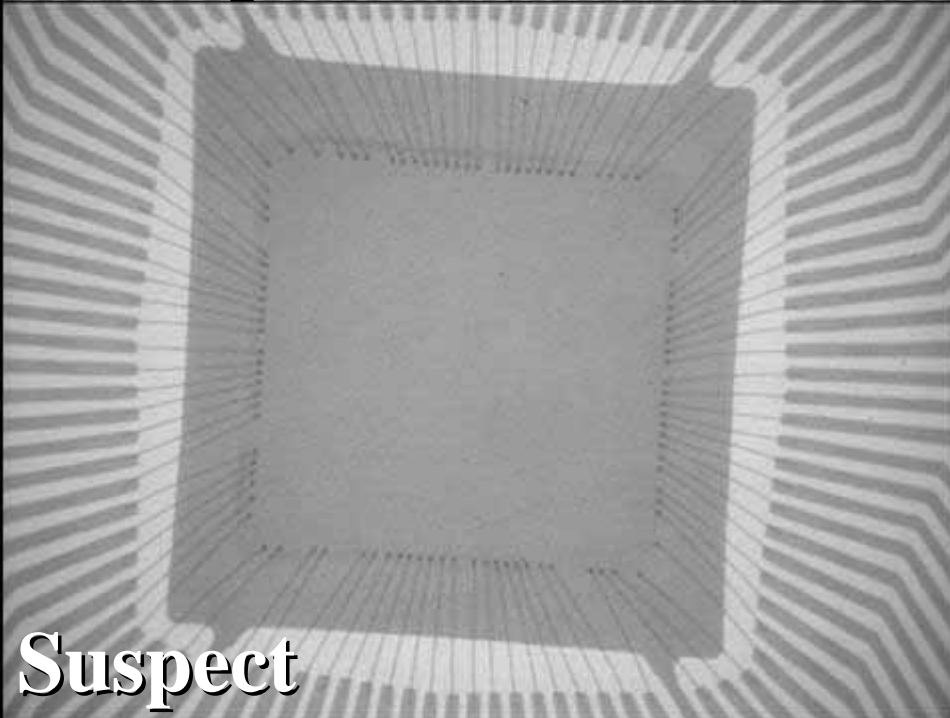
**Topside  
Internal  
Image Scan**

**Suspect  
Device**





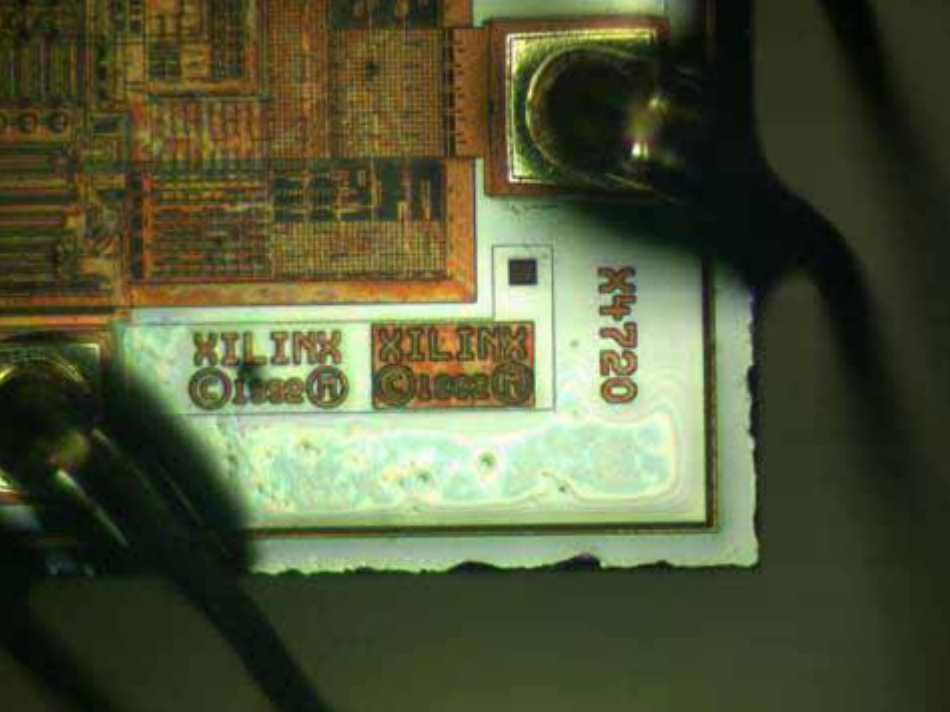
Exemplar



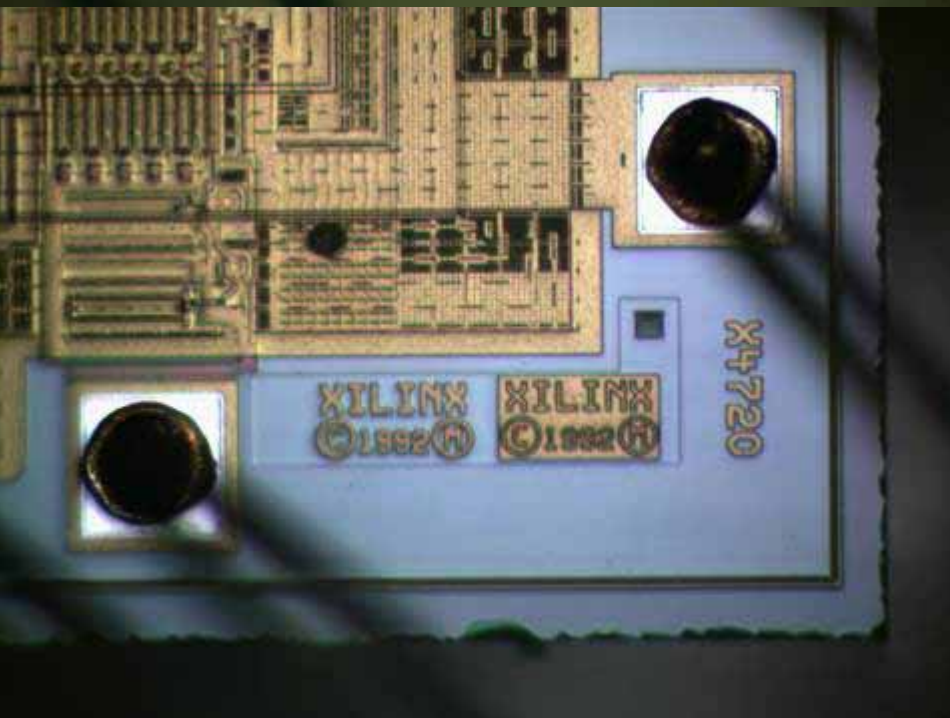
Suspect

**Side by Side  
Comparative  
Image Analysis  
would pass since  
the bonding  
layout and die  
features are  
identical.**





**Exemplar  
Device Die**



**Identical Die**

**Suspect  
Device Die**



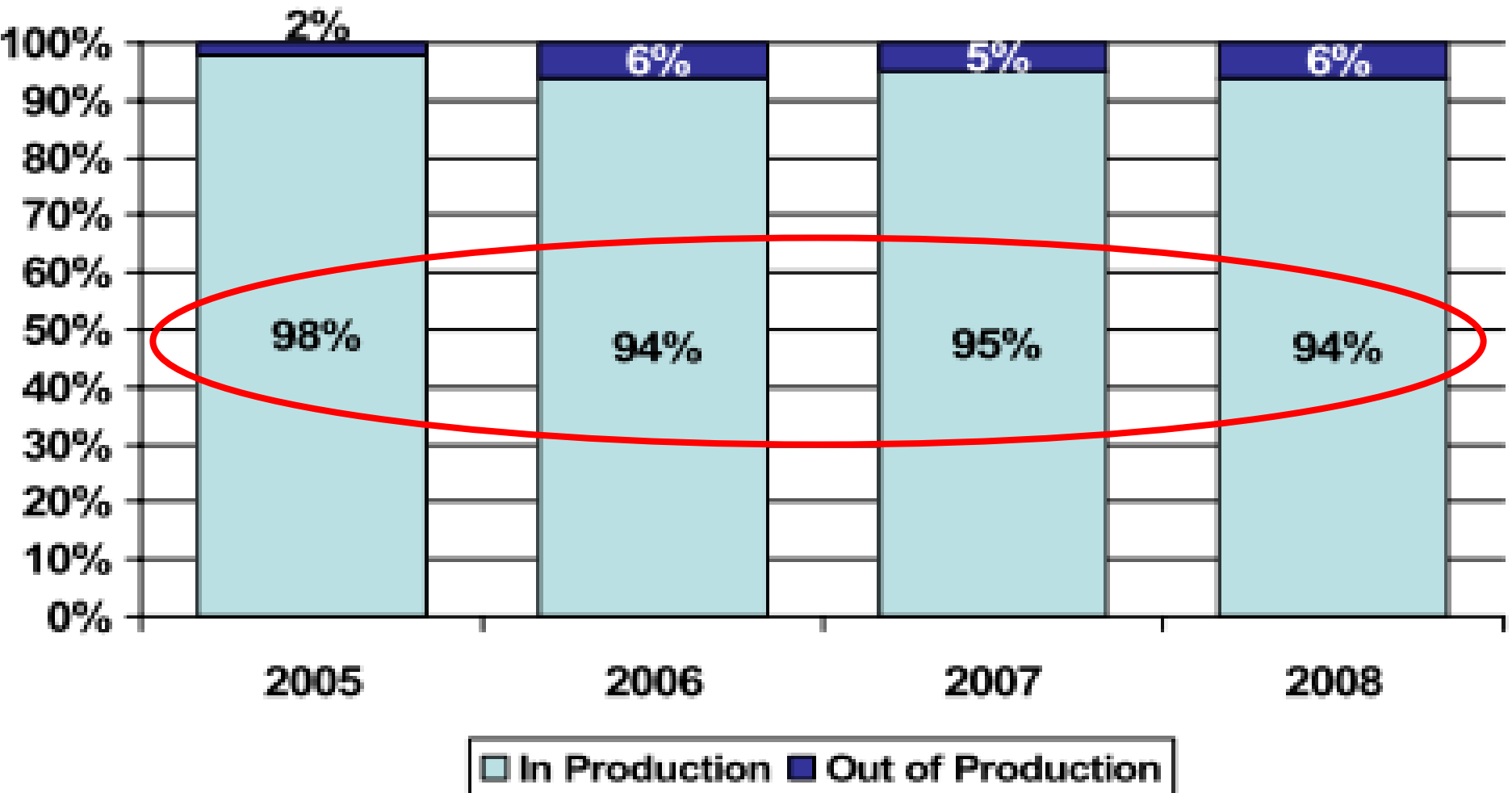
## New Blacktop Threat- Recap

- Identical visual surface characteristics
- Impervious to Acetone (RTS)
- Identical surface element makeup
- Identical Real-Time X-Ray images
- Authentic die
- Will probably pass functionality



So how bad IS the  
**Counterfeit problem**  
we face?

# Figure II-7: Percent of Counterfeit Incidents Involving In/Out of Production Parts – Discrete Manufacturers (2005-2008)



Source: U.S. Department of Commerce, Office of Technology Evaluation, *Counterfeit Electronics Survey*, November 2009.



**Global Impact on Industry**



**Perception  
of the  
Counterfeit  
Problem**

# Counterfeit Problem Perception ↘



Global Impact on Industry



Reality of the  
Counterfeit  
Problem





# SMT Purchase Order Terms & Conditions re: Counterfeit Product



SMT Corp. 14 High Bridge Road, Sandy Hook, CT USA 06482 • Tel: 203 270-4700 • Fax: 203 270-4799

www.smtcorp.com

A stocking distributor of name brand electronic components

## Terms & Conditions of this Purchase Order

**By shipping against this Purchase Order, or any part thereof, Seller acknowledges and agrees to the following Terms and Conditions unless otherwise stated on the front of this Purchase Order:**

- 1) All component products must be contained in the original manufacturer's packaging. All parts must be new, unused and in good condition. Refurbished parts, programmed parts, parts with bent, formed or oxidized leads, test dots or test markings will be rejected.
- 2) Unless otherwise stated on this Purchase Order, mixed date codes contained within individual reels, cut tape or tubes will not be accepted.
- 3) All parts supplied by Seller must meet original manufacturers' specifications for "fit, form and function" for a minimum of 60 days – otherwise Seller agrees to take parts back and issue a full refund to SMT Corporation. **There is no warranty time limit for product found to be counterfeit.**
- 4) No substitutions or changes allowed without prior written approval from SMT Corporation.
- 5) SMT reserves the right to cancel this order if shipment does not conform to the quantities, delivery method or delivery date indicated on this Purchase Order.
- 6) This purchase order number must appear on all boxes, packing slips and invoices.
- 7) Seller agrees to ship all exported products with accurate and full values on all pro forma invoices and shipping / customs documentation that corresponds to that which is indicated on the Purchase Order. Undervalued invoices will not be accepted.
- 8) SMT Corporation does not source products from China, India or Africa for its Defense & Aerospace customers. It is therefore understood and agreed that Seller has not sourced the products contained in this PO from China, India or Africa nor has knowledge that their source has obtained the products from these regions.
- 9) Supplier agrees that it has a component inspection system in place that will be used in filling this Purchase Order to prevent the shipment of counterfeit / suspect parts to SMT Corporation.
- 10) Do not insure this shipment – SMT Corporation has its own insurance. Binder available upon request.
- 11) If this is a COD shipment, please provide the COD amount by fax or e-mail so that payment can be prepared in time.
- 12) Seller grants SMT, their customer and regulatory authorities right of access to the applicable areas of all facilities, at any level of the supply chain, involved in the order and to all applicable records.

### **Counterfeit / Suspect Parts Policy:**

SMT reserves the right to seize and quarantine any / all suspected counterfeit products it receives from seller on this Purchase Order. Suspect counterfeit products may be forwarded to the IP holder (Original Component Mfg) and / or the appropriate Federal or State authorities for final analysis, possible confiscation and / or destruction. If products furnished by the Seller are determined to be counterfeit, Seller agrees to reimburse SMT Corporation the full purchase price paid as well as any shipping or 3<sup>rd</sup> party testing charges incurred by SMT Corporation.

### **SMT Corporation defines Counterfeit / Suspect electronic parts as:**

- Substitutes or unauthorized copies of a product.
- A product as defined by the manufacturers' part number identification, date code and manufacturers' identification (logo, trademark) in which the materials used or the performance of the product has changed without notice by someone other than the original manufacturer of the product.
- A substandard component misrepresented by the supplier.
- Products that have been re-topped (black-topped) remarked or otherwise fraudulently altered and / or misrepresented by a 3<sup>rd</sup> party.

**IF YOU ARE NOT CONFIDENT THAT YOUR PRODUCTS ARE AUTHENTIC – DO NOT SHIP THEM TO SMT CORPORATION!**

*Printed versions of this document are for reference purposes only. Refer back to the Master List of Controlled Documents (F423-01) to assure the use of the latest revision document.*

F742-01  
Rev 2  
12/15/09



# Part 3:

# Counterfeit Threat Mitigation at SMT





# SMT Overview

- Established in 1995.
- Independent Stocking Distributor
- Woman Owned Small Business
- Over 120,000 line items in-stock of obsolete & DMS-type component products
- 72,000 sf. facility specifically engineered for the proper storage, inspection, and distribution of ESD and humidity sensitive electronic components.



# SMT Corporation

## Certifications & Memberships

- AS9120:2002 CERTIFIED
- ISO9001:2000 CERTIFIED
- ISO14001:2004 CERTIFIED
- OHSAS 18001:2007 CERTIFIED
- ANSI/ESD-20.20:2007 CERTIFIED
- WBENC CERTIFIED
- IDEA MEMBER
- ERAI MEMBER
- GIDEP MEMBER
- AIA MEMBER





# SMT 72,000 sq ft Facility & Property Holdings

12 Acre site  
owned by  
SMT –  
Purchased in  
2010 for  
Future  
Facility  
Expansion

9 Acre site owned  
by SMT -  
Developed in 2003





# **Facility Relative Humidity Environmental Controls and Safeguards for Component Storage at SMT**



# Complete Warehouse Dehumidification System





# Dehumidification System

**Networked 60-ton “Desert Aire”  
System installed in critical  
component storage / handling areas  
– 45% Max RH allowed year-round.**



# Complete Warehouse Dehumidification System





Air Humidity  
Air Temperature  
Hot Water Valve % Open

34.5

BMS RH Set Point (Monitor only)  
BMS Cool Set Point (Monitor only)  
BMS Heating Set point (Monitor only)

45.3

Dehum Prop Band  
Air Cooling Prop Band  
Air Heating Prop Band  
Air Heating Intg Const.

5.0

1st Stage Air Cooling  
2nd Stage Air Cooling  
1st Stage Dehum  
2nd Stage Dehum  
Supply Blower Status  
Supply Air Flow

Compressor A Fault  
Compressor B Fault  
Hot Water Pump



Monitor  
Adjust  
Password

Alarm

**45% RH Year Round**

KEY FUNCTIONS



# Humidification Systems

**Multiple networked units installed in critical component handling / inspection areas – 25% Min RH allowed year-round.**

**All humidification systems are integrated with the associated facility HVAC systems.**

# Monitored Humidification Units (3 total)



# Wall Humidistat (On Network)





**60,000 SF  
ESD Floor  
Treatments**



**ESD Test Point  
all Employees  
must pass thru to  
reach component  
work areas.**









**SEMTRONICS**

www.semtronics.com

## ESD PERSONAL GROUNDING TEST STATION

### Footwear/Wrist Strap Test

1. Step on the footplates (one foot on each footplate).
2. Plug the wrist strap cord into the appropriate jack located on the left side of the unit if the Wrist Strap Test is activated.
3. Press and **HOLD** the metal touchplate on the unit until the results of the test are displayed.

The results for each foot are displayed independently on either side of the touch plate. The results for the wrist strap test are displayed above the touchplate.

Green lights indicate a pass condition.







**20,000 sf Blue Warehouse**



**30,000 sf Red Warehouse**





**Blue Warehouse**  
20,000 sq ft

**Red Warehouse**  
30,000 sq ft

**ESD treated floor area – 60,000 sq ft**



# Incoming Order Processing Area (10 Stations)





# Outgoing Order Processing Area (12 Stations)







# Tape & Reel (9 Stations)





# Baking & Dry Packing (4 Stations)





# Analysis Labs #1 & 2 - (10 Stations)





# X-Ray, SEM, EDX & AM Lab Area



# Sample Preparation Lab Area





# Minimum Inspection for **Open-Market Product**

- Visual Inspection - 100%
- X-Ray Inspection – 100%
- XRF Inspection – 2 / lotdc
- SEM Surface Inspection – 2 / lotdc
- RTS Inspection – 2 / lotdc
- Solderability – 2 / lotdc
- Scratch Test – 2 / lotdc
- Heated Solvent – 2 / lotdc
- Decap - Die Verification – 2 / lotdc



# Product Inspection

**SMT's In-House  
Component Quality &  
Authentication  
Analysis Process**



# EMPLOYEE TRAINING!



# QC Lab Mgr: Jason Romano

## Certificate of Completion

awarded to

### Jason Romano

SMT Corporation

for attendance of

### Counterfeit Components Avoidance Workshop

3 December, 2007

Boston, Massachusetts

Components T

*Lynn Hawiter*  
President  
www.cti-us.com

## Independent Distributors of Electronics Association



PROUDLY PRESENTS THIS PROFESSIONAL INSPECTOR CERTIFICATION

TO

**JASON ROMANO**

OF

**SMT CORPORATION**

FOR SUCCESSFULLY PASSING THE

**IDEA-ICE-3000 PROFESSIONAL INSPECTOR CERTIFICATION EXAM**

ON THIS DAY

**AUGUST 26, 2009**

*The individual for whom this certificate is assigned has demonstrated inspection knowledge, experience, and specification information as needed to perform inspections in the independent distribution market.*

*Lia M. Powell*

IDEA PROGRAM ADMINISTRATOR

SERIAL NO: ID03-09-91 NON-TRANSFERABLE



## TECHNICAL TRAINING

*This is to certify that*

### Jason Romano

*Attended the Five Day Short Course*

## COMPONENTS ENGINEERING 101+

*Including MIL 750-2072 & 883-2010 & 2017 Inspection*

*Prohibited Materials EDS & XRF; Recent DPA Experiences*

*Mil 883-2018 SEM Step Coverage Inspection*

*Concluded on the 30<sup>th</sup> day of July, 2010*

*[Signature]*  
DM Data, Inc.

*[Signature]*  
Hi-Rel Laboratories, Inc.





# QC Insp Mgr: Kimberly Costa

## Certificate of Completion

awarded to

### Kimberly Costa

SMT Corporation

for attendance of

### Counterfeit Components Avoidance Workshop

3 December, 2007

Boston

Components T

*Lynn Alcantara*

President

www.cti-us.com

## Independent Distributors of Electronics Association



PROUDLY PRESENTS THIS PROFESSIONAL INSPECTOR CERTIFICATION

TO

### KIMBERLY G. COSTA

OF

### SMT CORPORATION

FOR SUCCESSFULLY PASSING THE

IDEA-ICE-3000 PROFESSIONAL INSPECTOR CERTIFICATION EXAM

ON THIS DAY

**AUGUST 21, 2009**

DEMONSTRATED INSPECTION KNOWLEDGE, EXPERIENCE,  
AND SPECIFICATION INFORMATION AS NEEDED TO  
SUCCEED IN THE INDEPENDENT DISTRIBUTION MARKET.

*Lia M. Powell*

IDEA PROGRAM ADMINISTRATOR

SERIAL NO. 1001-09-09 TECHTRANSFERABLE



### TECHNICAL TRAINING

*This is to certify that*

## Kimberly Costa

*Attended the Five Day Short Course*

### COMPONENTS ENGINEERING 101+

*Including MIL 750-2072 & 883-2010 & 2017 Inspection*

*Prohibited Materials EDS & XRF; Recent DPA Experiences*

*Mil 883-2018 SEM Step Coverage Inspection*

*Concluded on the 30<sup>th</sup> day of July, 2010*

*[Signature]*  
DM Data, Inc.

*[Signature]*  
Hi-Rel Laboratories, Inc.





***Documented***

**Visual Inspection**

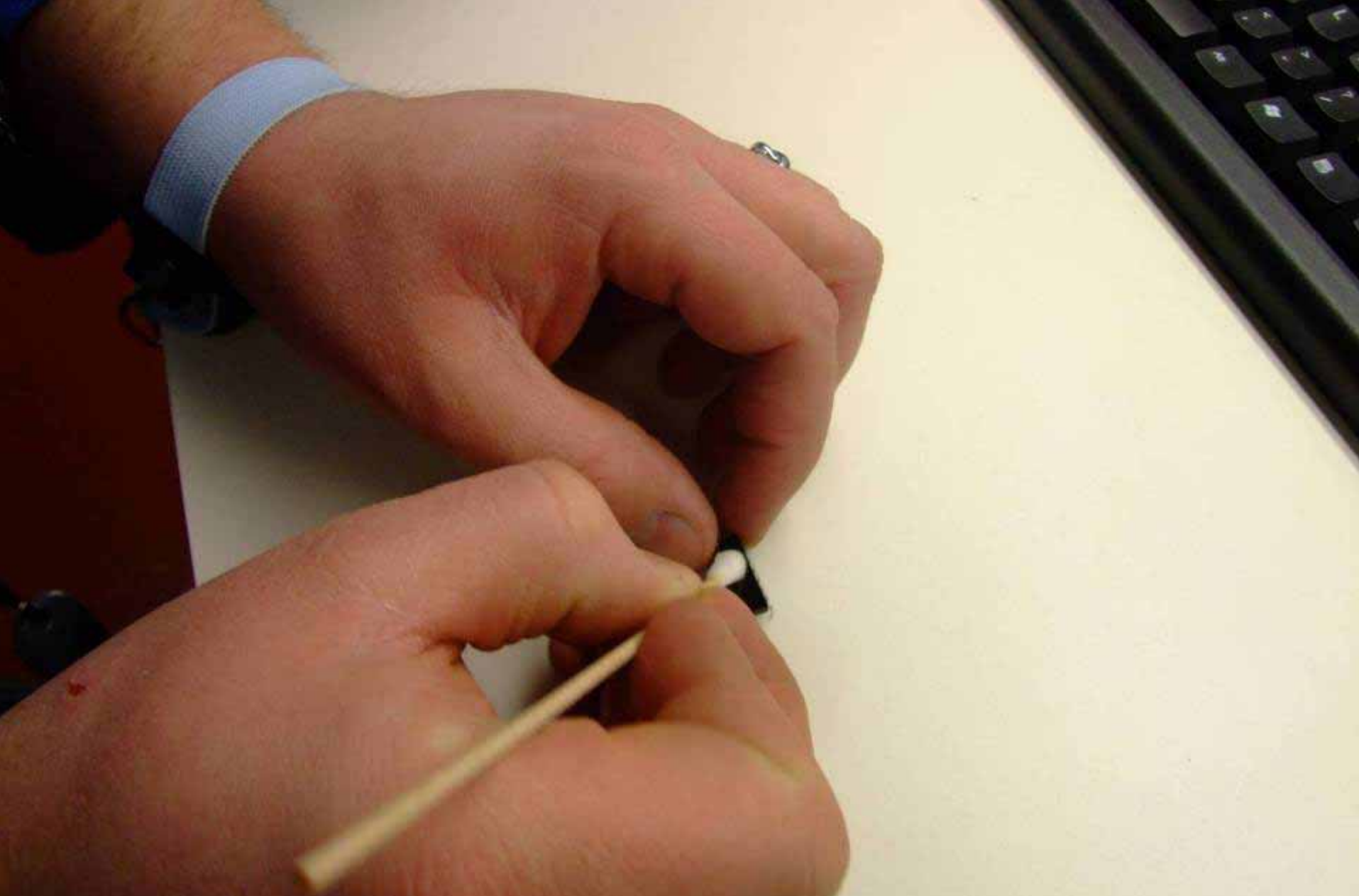


# Packaging Inspection





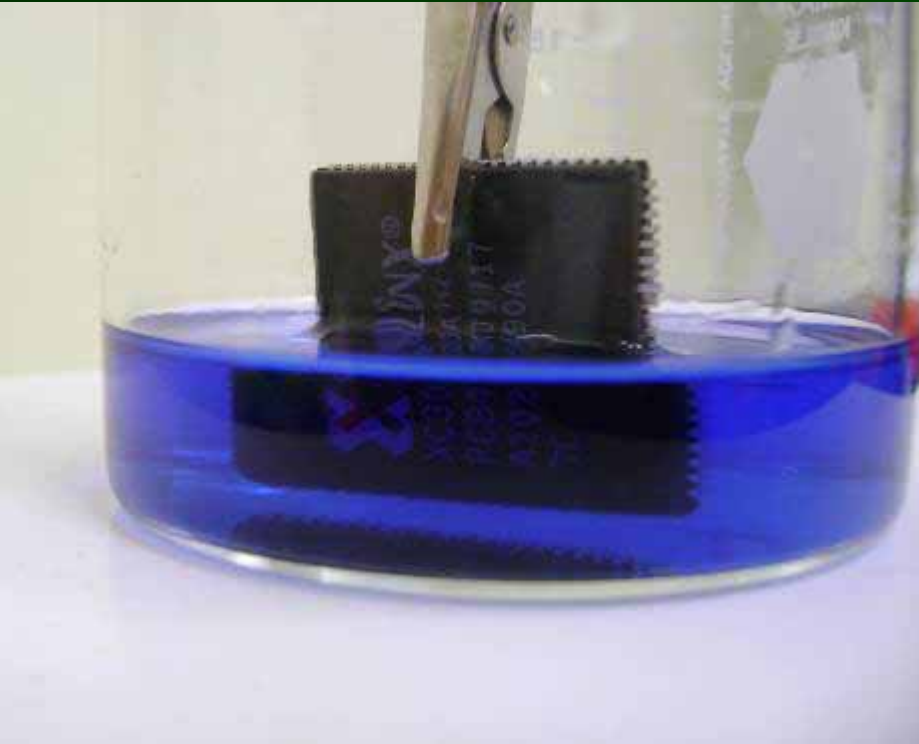
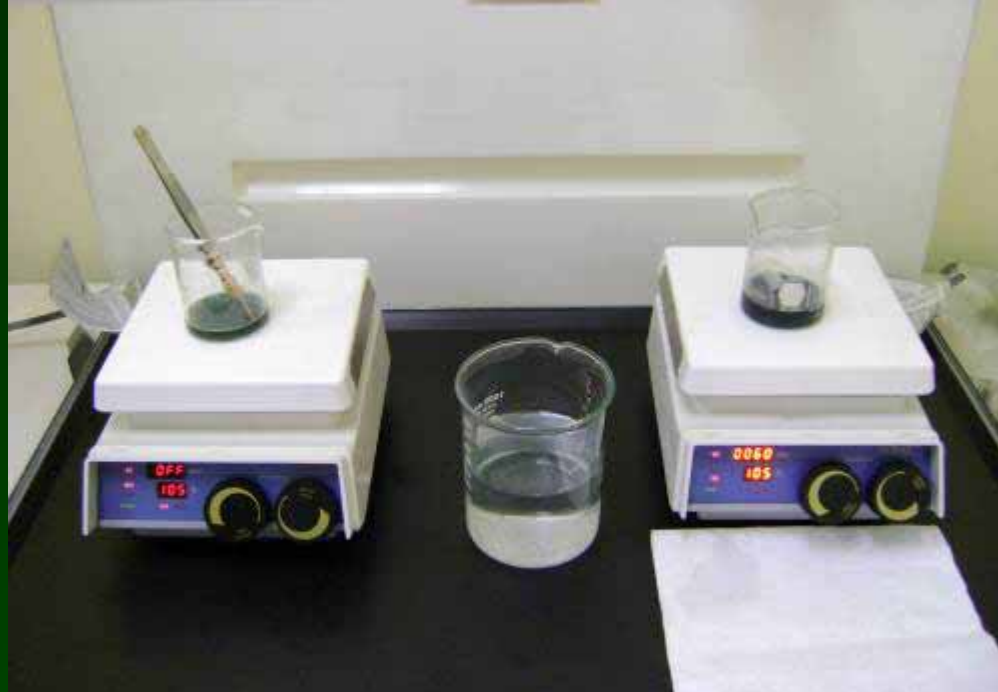
# Package Verification & Condition



**Marking Permanency (RTS)**



# Heated Solvent Testing (2 Systems)





### VISUAL INSPECTION CHECKLIST

Manufacturer	
Part Number	
Vendor/Customer	
PO/Order	

### LEADED COMPONENT VISUAL INSPECTION CHECKLIST

Y	N	N/A	Check for:
			<b>Leads</b>
			Corrosion or solder on pins
			Pins have dissimilar gloss, shine, color, or texture
			Pin surface is inconsistent with date code
			Dirty pins or leads
			Dents in leads indicate used parts
			Leads are tinned/Refurbed BGA's
			<b>Top Surface</b>
			Parts appear to be resurfaced and remarked
			Surface cracks
			Directional scratches on top surface of part
			<b>Markings</b>
			Part numbers are blurry
			Inconsistent part marking font, color, or placement
			Inconsistent date and lot codes in the package
			Inconsistent country of origin within date/lot code
			Top and bottom markings are inconsistent
			Colored dots or ink marks on component top
			<b>Component Case</b>
			Top and bottom color inconsistent
			Tool pull marks
			Heat sink witness marks
			Burn marks
			Parts in package not all facing the same way
			Glue or adhesive
			Circles on part bottoms are inconsistent

### MICROSCOPY/PHOTOS

Top	Bottom	Country of Origin	Anomaly Close-ups

### PART MARKING PERMANENCY TEST

OK	NG	N/A	Wipe test with:
			3 parts mineral spirits 1 part alcohol solution
			Acetone

Inspected by	Signature	Date

# Documented Visual Inspection Checklist



# Datasheet Comparison

High-Resolution Analog-to-Digital and Digital-to-Analog Converters  
High-Accuracy Reference Standard  
High-Accuracy Industrial and Process Control  
Digital Voltmeters  
ATE Equipment  
Precision Current Sources

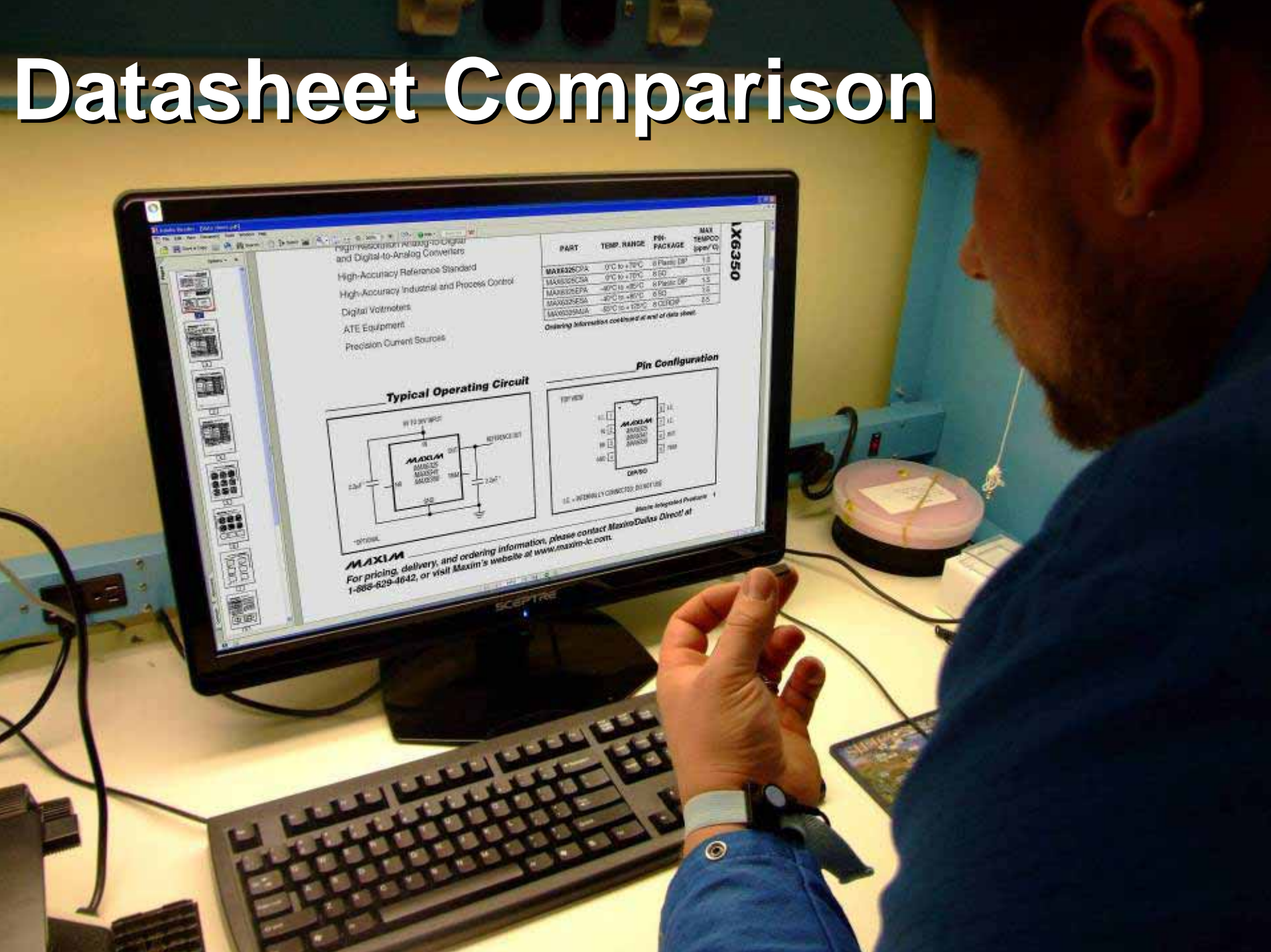
PART	TEMP. RANGE	PN-PACKAGE	MAX. TEMPOOD (ppm/°C)
MAX16350CA	0°C to +75°C	8 Plastic DIP	1.5
MAX16350CA	0°C to +75°C	8 SO	1.0
MAX16350EPA	-40°C to +85°C	8 Plastic DIP	1.5
MAX16350EPA	-40°C to +85°C	8 SO	1.0
MAX16350MAA	55°C to +125°C	8 CDIP	0.5

Ordering Information (continued at end of data sheet)

### Typical Operating Circuit

### Pin Configuration

MAXIM  
For pricing, delivery, and ordering information, please contact **Maxim/Dallas Direct!** at 1-888-629-4642, or visit Maxim's website at [www.maxim-ic.com](http://www.maxim-ic.com).



# Mechanical Verification

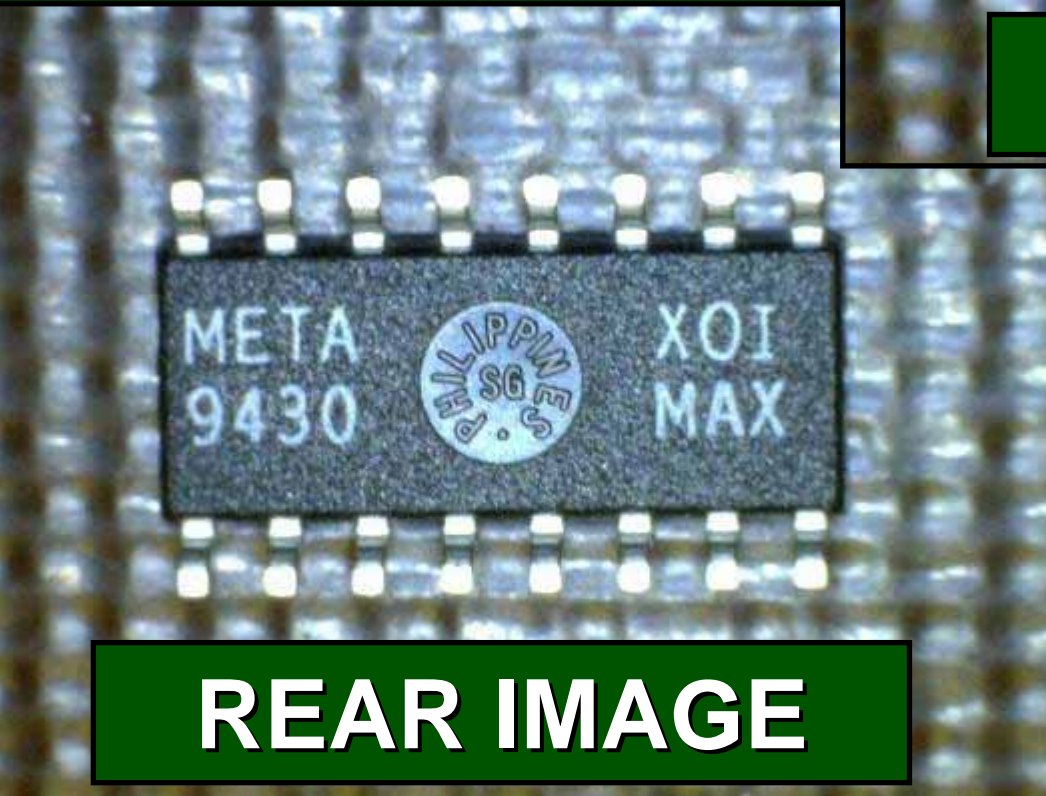
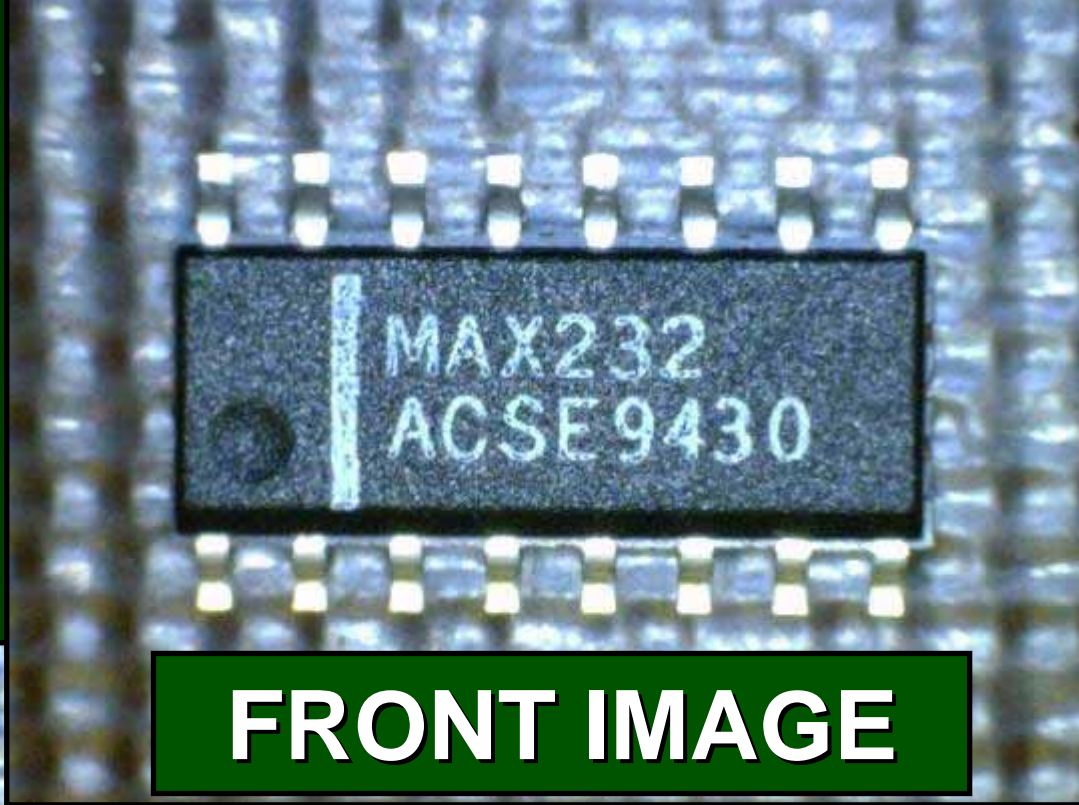






**Documented**

**Component  
Photos**



RESEARCH CHECKLIST

Y	N	Check for:	Initials	Date
		<u>SMT</u>		
X		Same part number currently in stock	KC	1/23/09
	X	Inspection report for same part number on file	KC	1/23/09
		<u>Manufacturer</u>		
X		Data sheet found	KC	1/23/09
X		Metalization layer image or die photo found	KC	1/23/09

PART PHOTOS

Top part markings

Bottom part markings



Logo, part number, date/lot code



Country of origin: CHINA

**Documented**

**Photo  
 Inspection  
 Data**



***Documented***

**XRF RoHS Testing**



# X-Ray Fluorescence (2 Systems)



Product No. 8 Hi-Rel Components-1

Block No.1	SnPb1 (µm)	Pb1 (%)
Mean value	440.8	10.89
Standard Dev.	47.10	1.878
CV (%)	8.65	17.48
No. of Readings	4	4
Part Number	CG8208A	
Order/PO No		
Operator	George /atyls	
Date Code	0214	
Trace Photo		
Calibration Standard		

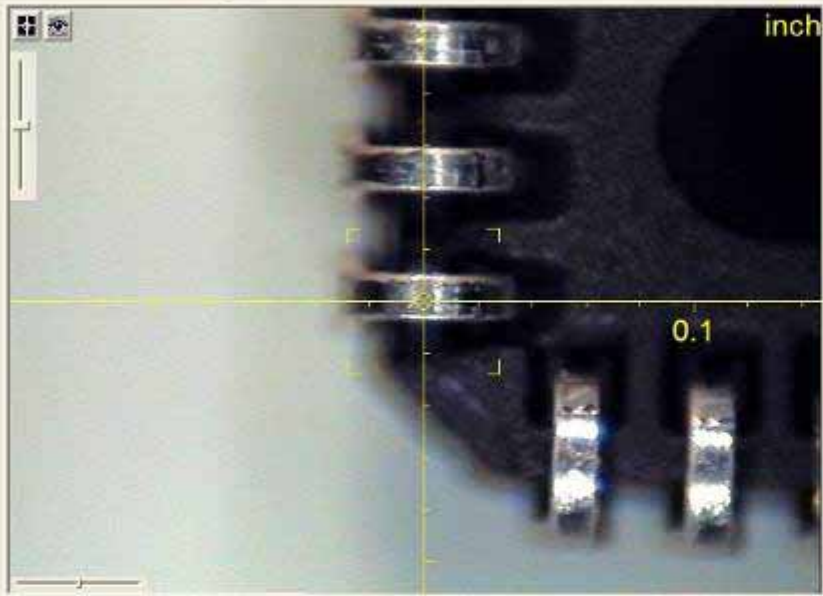
No.	SnPb1 (µm)	SnPb1 (µm)	SnPb1 (µm)	Pb1 (%)	Pb1 (%)	Pb1 (%)	Operator	Date
Block 1								
1	421.9	440.8	460.7	10.2	10.89	11.58	Georg	5/02/07 11:59
2	380.4	440.8	501.2	8.75	10.89	13.00	Georg	2/22/07 12:50
3	485.3	440.8	495.8	9.55	10.89	11.81	Georg	5/08/07 12:51
4	478.3	440.8	478.3	9.28	10.89	12.02	Georg	5/02/07 12:52







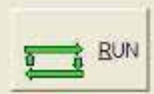
# Product No. 8 Hi-Rel Components-.1



Block No:1	SnPb1 (μ")	Pb 1 (%)
Mean value	440.8	10.90
Standard Dev.	42.10	3.539
C.O.V.[%]	9.55	32.48
No of Readings	4	4
Part Number	CS82C55A	
Order/PO No.		
Operator	George Zenhye	
Date Code	0214	
Lot Code	AOMV	

Calibration: Standard free

No	SnPb1 [μ"]	100.0	3937	Pb 1 [%]	3.00	100.0	Opera...	Date
Block 1								
1	461.1			16.2			Georg...	5/28/2010 11:59...
2	396.6			8.78			Georg...	5/28/2010 12:00...
3	489.3			9.33			Georg...	5/28/2010 12:01...
4	416.3			9.28			Georg...	5/28/2010 12:02...



FISCHERSCOPE XDAL XRF ANALYSIS

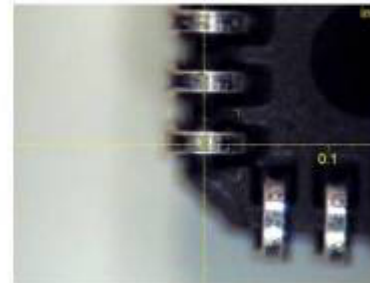
XRF test results

Y	N	N/A	Check for:
	X		Leads plating is RoHS compliant
X			Leads plating contains lead (Pb)
X			Results seem correct for part number and date code

Fischerscope® XRAY XDAL  
 Date: 5/28/2010 Time: 12:03:43 PM Operator: George Zantny

Part Number: CS82C55A  
 Order/PO No:  
 Date Code: 0214  
 Lot Code: AQMX  
 Sample:

Application: 8 / Hi-Rel Components-1 Calibration: Standard free



N	SnPb1 [µ"]		Pb 1 [%]	
	100.0	3937	118.1	3937
1	461.3	*	16.2	*
2	396.6	*	8.78	*
3	489.3	*	9.33	*
4	416.3	*	9.28	*

Element	SnPb1	Pb 1
Number of readings	4	4
Min. reading	396.6 µ"	8.78 %
Max. reading	489.3 µ"	16.2 %
Measuring time	45 sec	

**Documented**  
**XRF-RoHS**  
**Test Data**



**Documented**

**Real Time X-Ray  
Imaging**



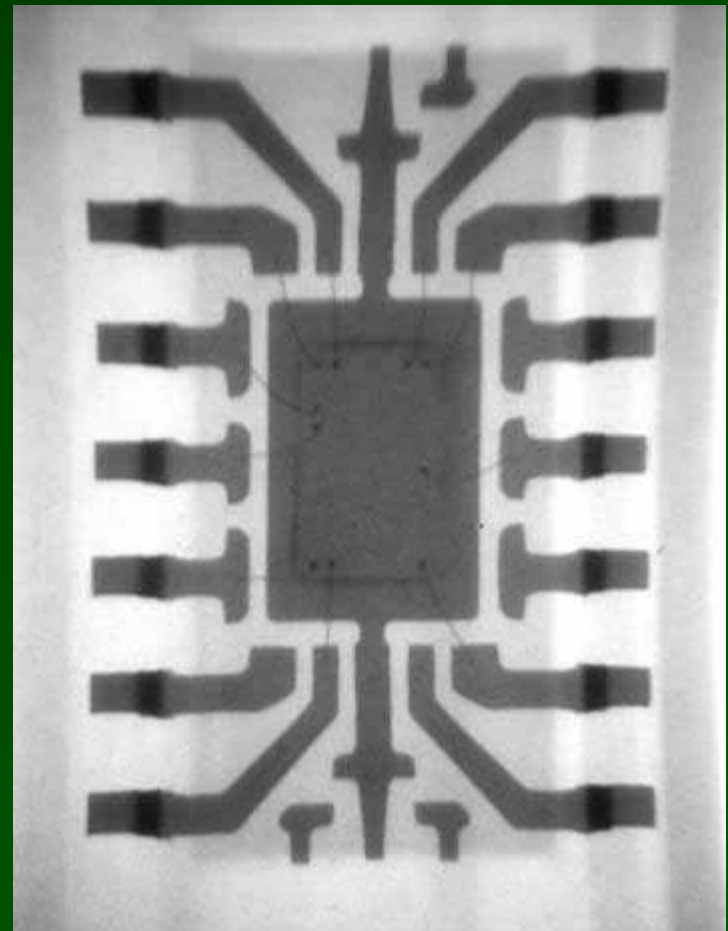
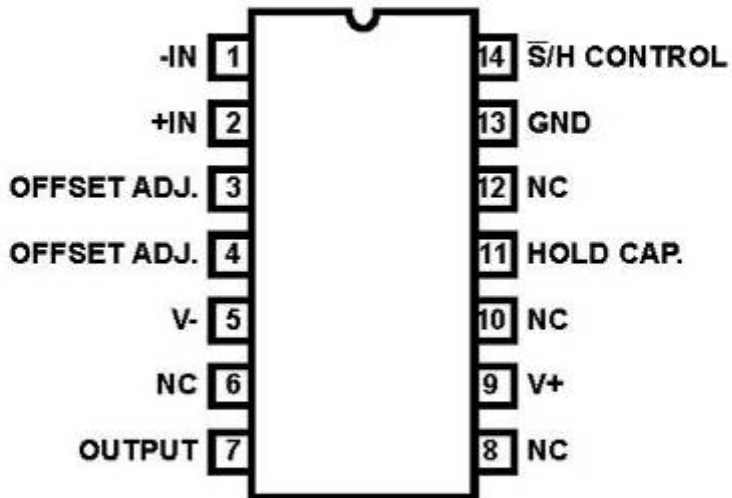
# Real-Time X-Ray (2 Systems)

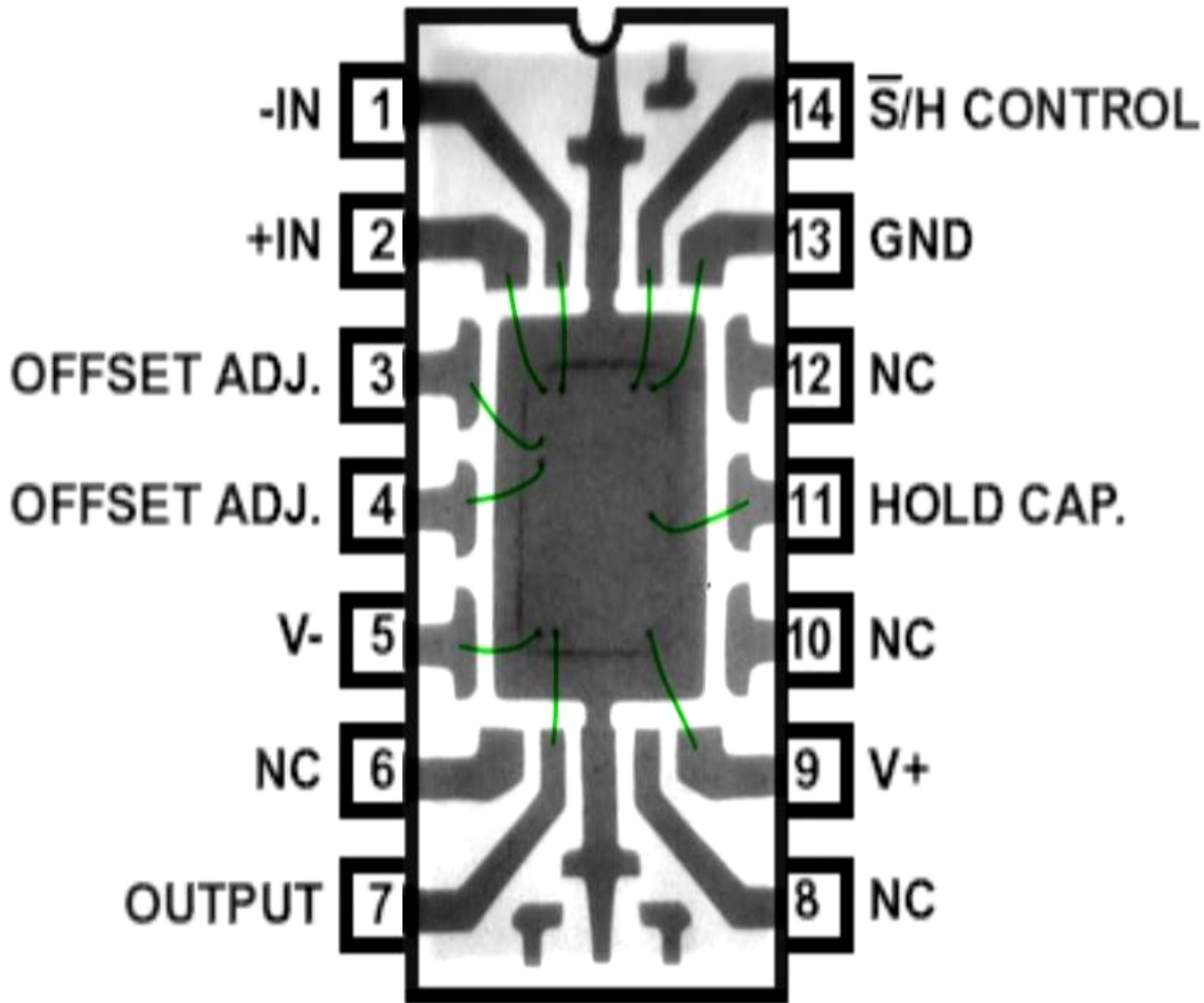


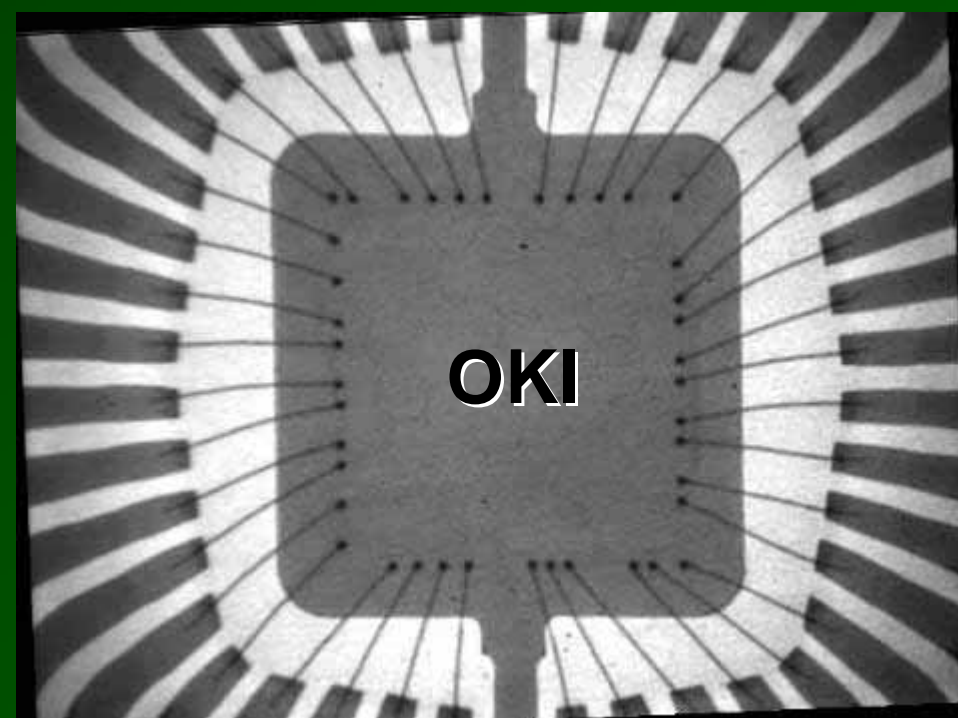
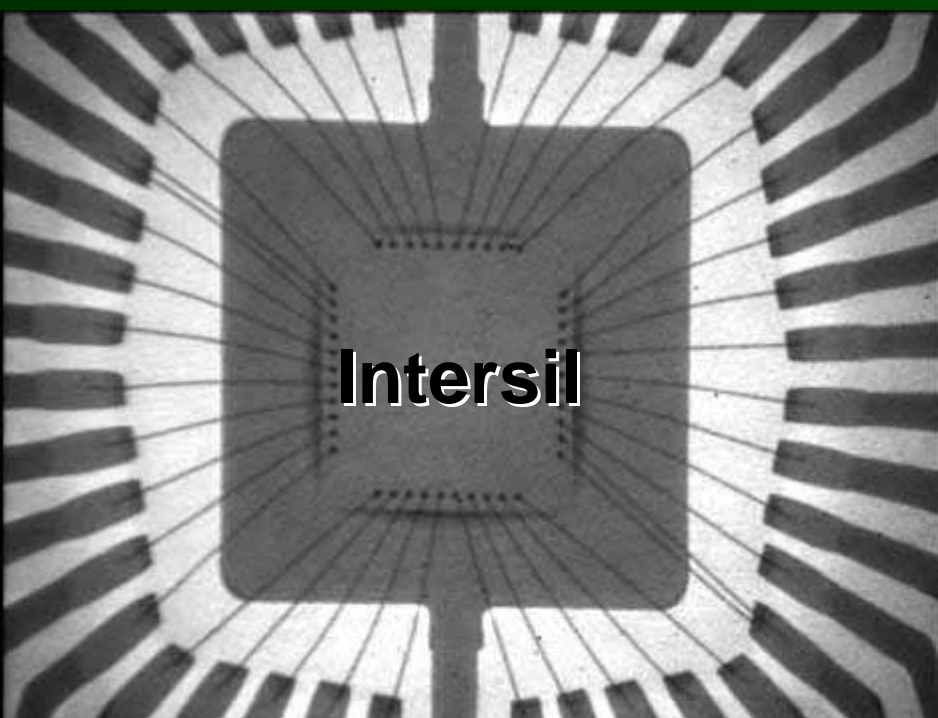
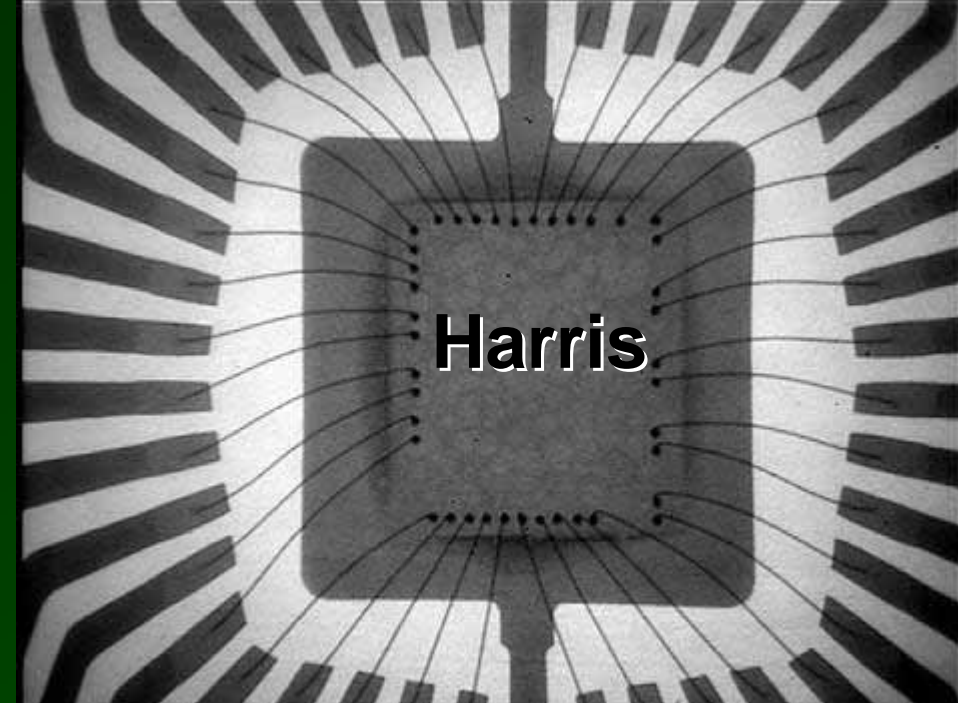
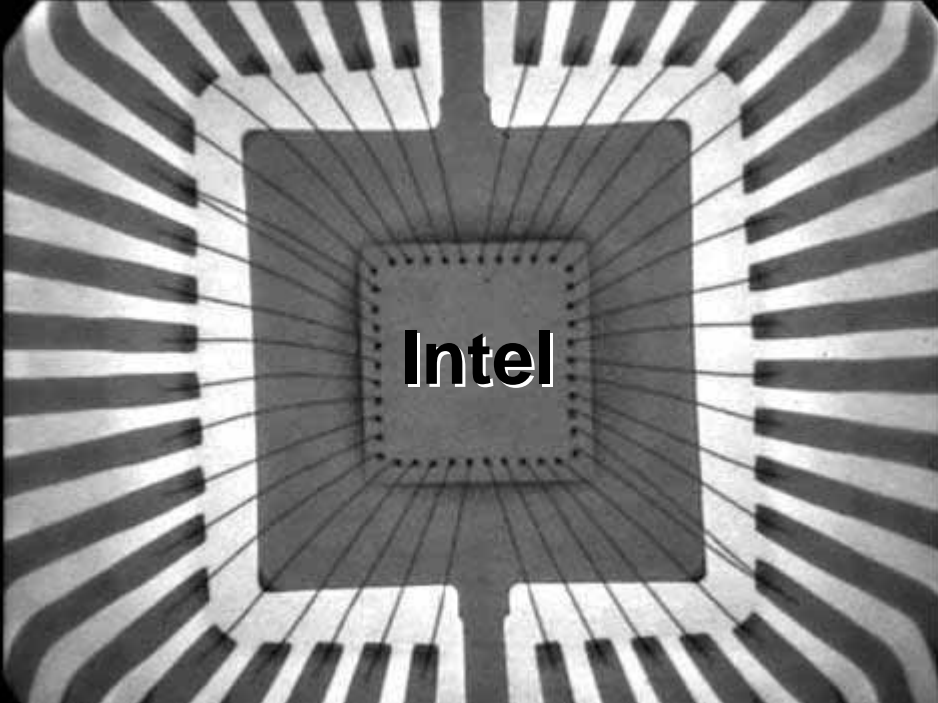
# Match Pin-Out to Datasheet

## Pinouts

HA-2420 (CERDIP)  
HA-2425 (CERDIP, PDIP, SOIC)  
TOP VIEW







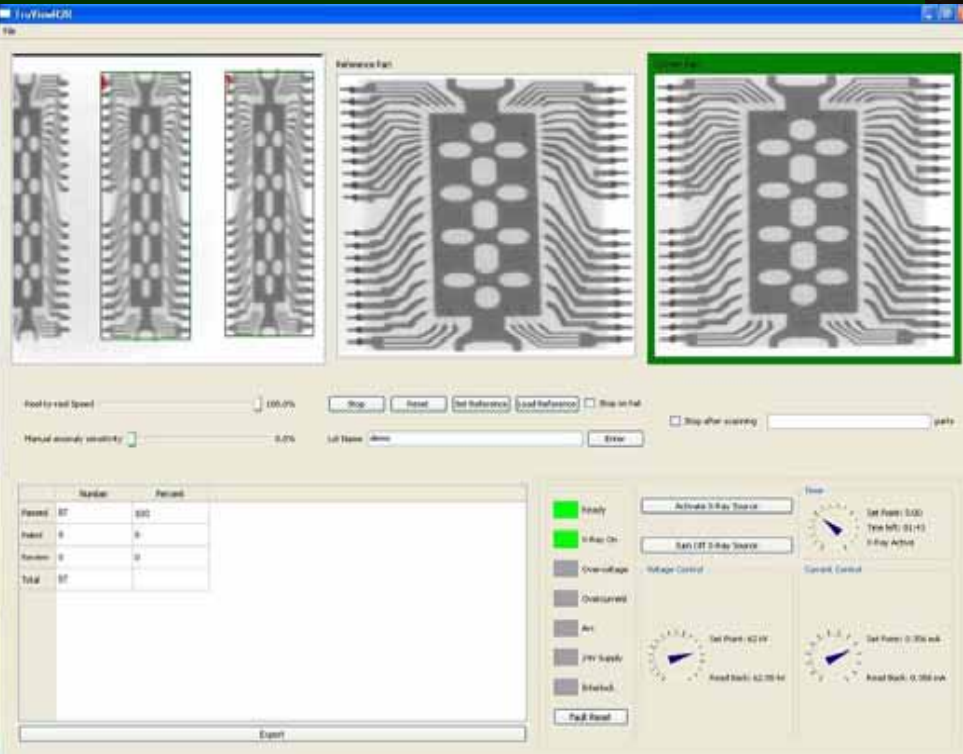


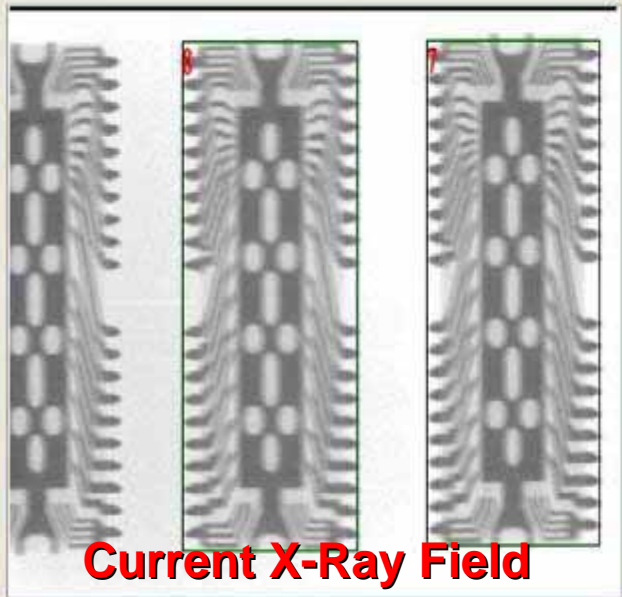
# Automated Real-Time X-Ray for 100% inspection of parts on reels



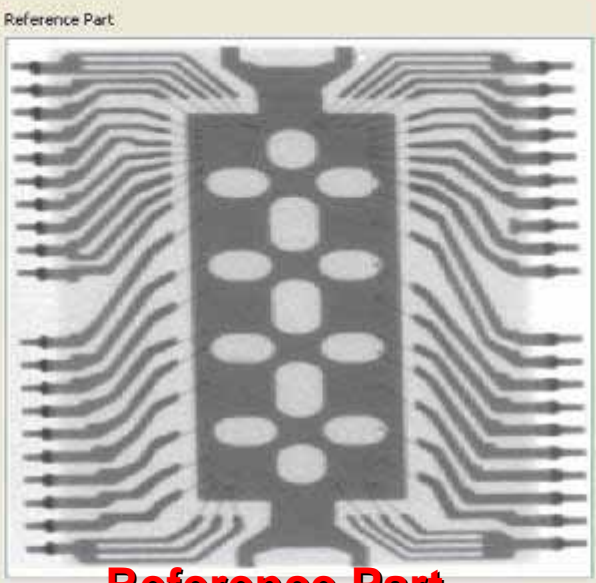


# Automated Real-Time X-Ray (2 Systems)

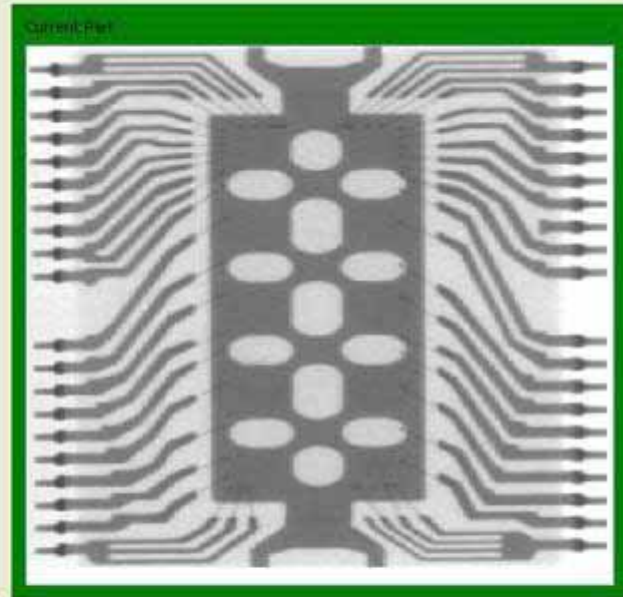




**Current X-Ray Field of View (FOV)**



**Reference Part**



**Current Part**

Reel-to-reel Speed   
Manual anomaly sensitivity

Stop on fail  
Lot Name

Stop after scanning  parts

	Number	Percent
Passed	87	100
Failed	0	0
Review	0	0
Total	87	

**Current Pass/Fail/Review Data**

- Ready
- X-Ray On
- Overvoltage
- Overcurrent
- Arc
- 24V Supply
- Interlock

Voltage Control



Set Point: 62 kV  
Read Back: 62.55 kV

Current Control



Set Point: 0.356 mA  
Read Back: 0.358 mA

Timer

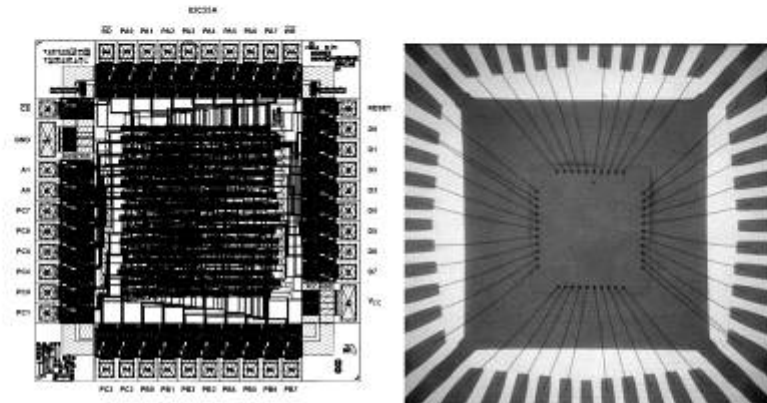


Set Point: 5:00  
Time left: 01:43  
X-Ray Active

# Documented X-Ray Inspection Data

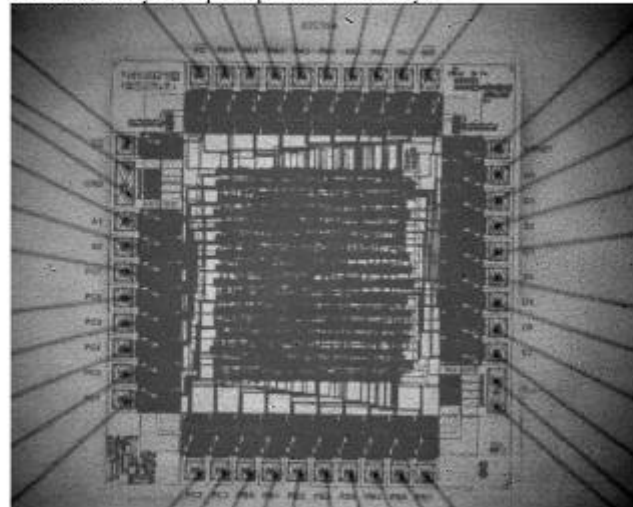
REAL-TIME X-RAY (continued)

Bondout match up (when metallization image is available)



Note doubled bond wires for Vcc and GND.

Metalization layout superimposed on die X-ray:



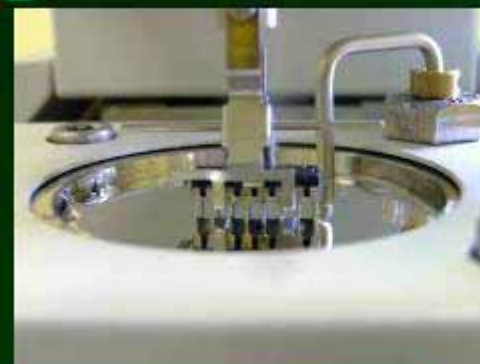
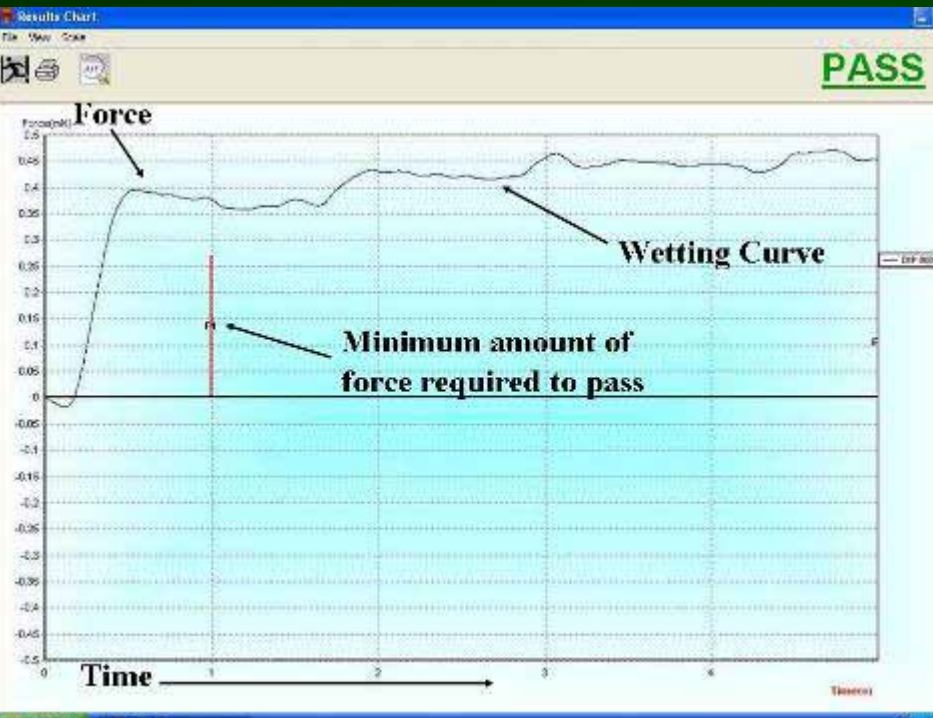


***Documented***

**Solderability  
Testing**



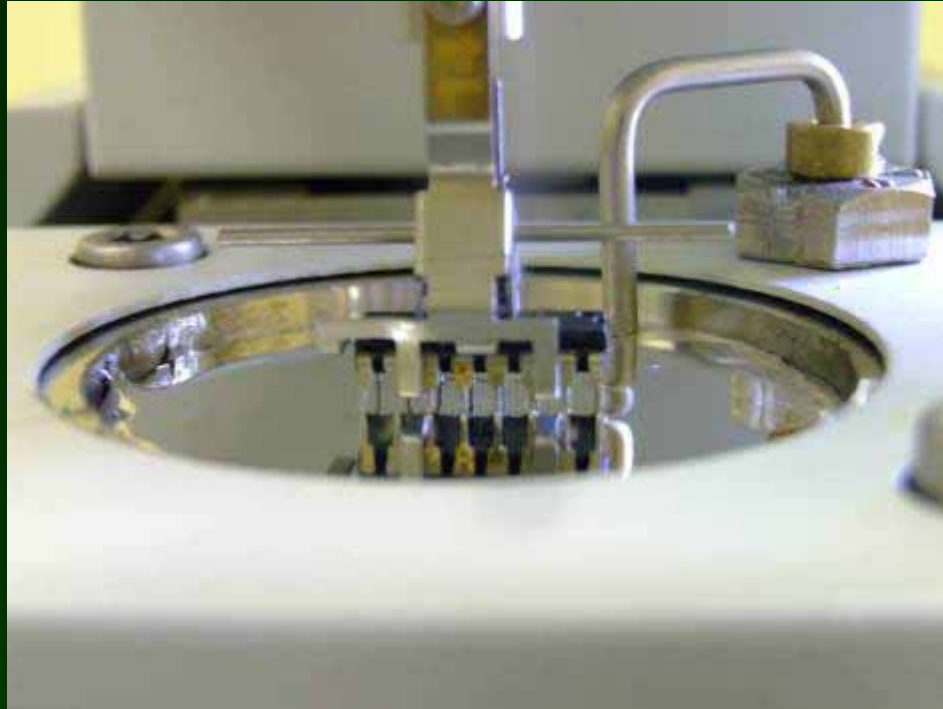
# Automated Solderability (2 Systems)



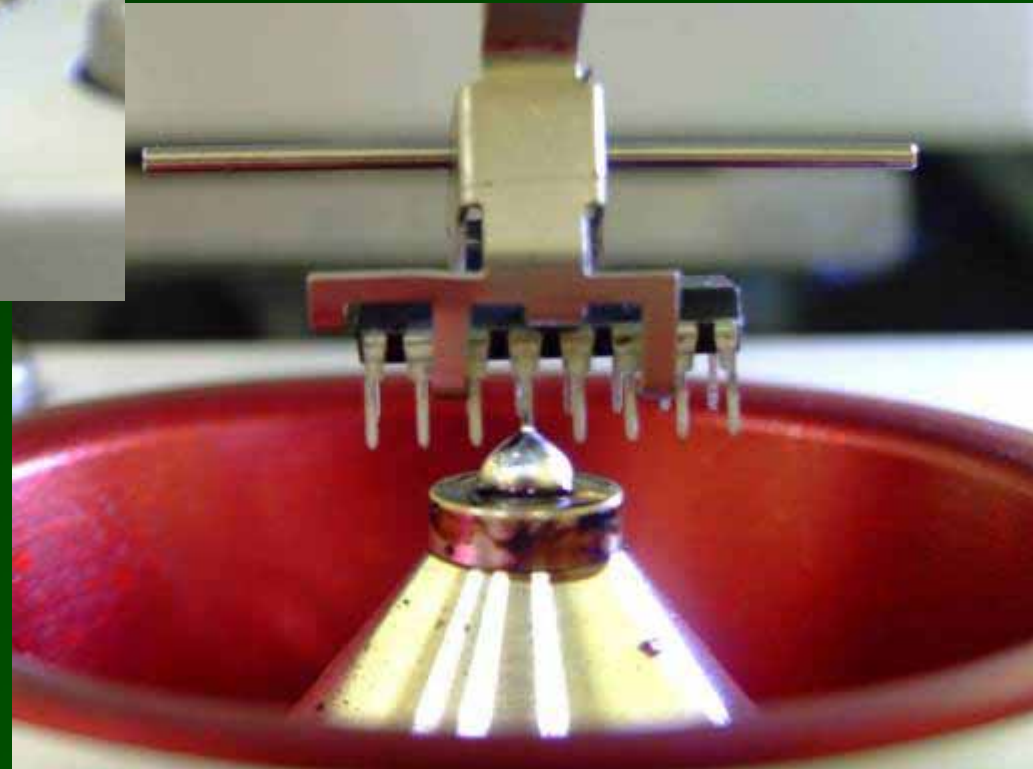
Globule Test



Bath Test



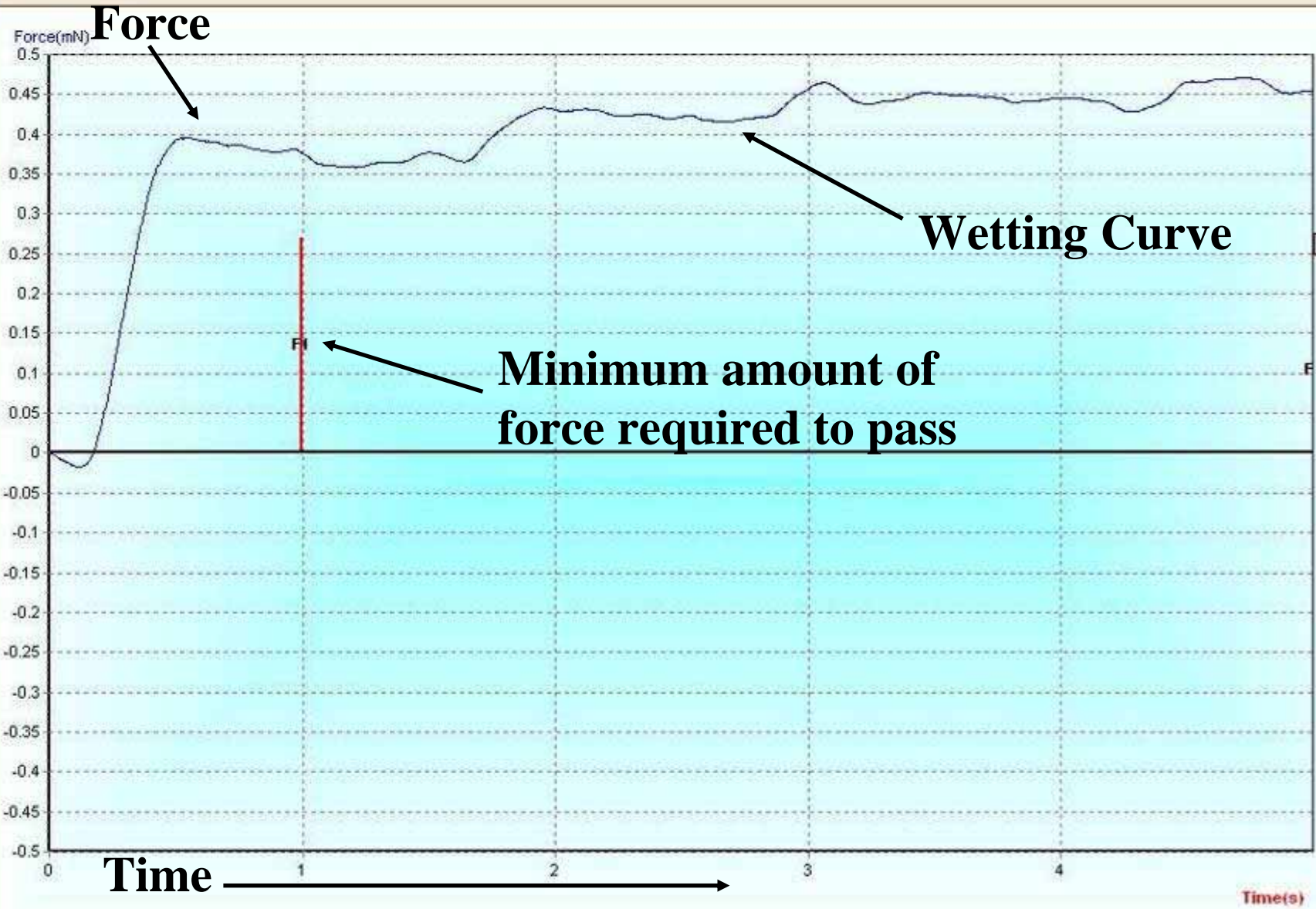
← **Bath Test**



**Globule Test** →



**PASS**



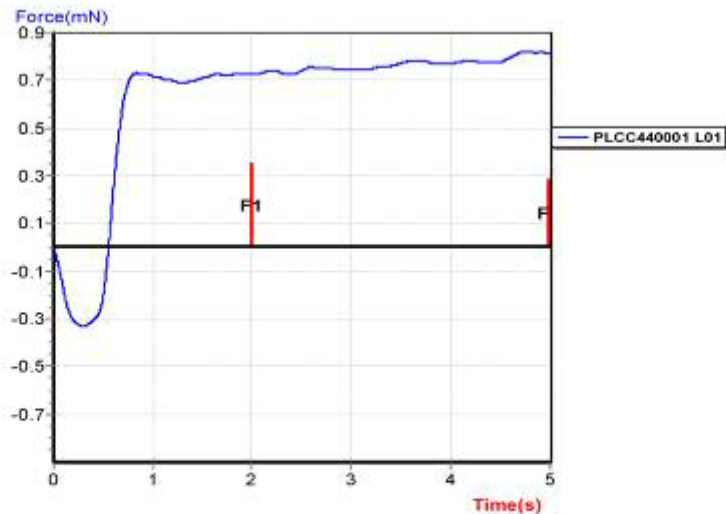
DIP 00033 L01

**SOLDERABILITY TEST**

Parameter		Test setup	
		X	Leaded solder
25 mg		Ball size	
PLCC44		Program	
Y	N	N/A	Test result
X			Pass
X			Report attached

Must III Force Chart Test Date: Fri Jan 23 14:00:25 2009

Test Details		Component : PLCC44								
Test parameter filename : Globule Parameters.xls		Test parameter line : 3								
Test Limits and Conditions										
F1 = 0.35 mN @ 2.00 s	F2 = 0.20 mN @ 5.00 s									
Tb = 0.00 s	Time 2/3 Fmax = 1.00 s									
Immersion Speed = 1.0 mm/s	Immersion Depth = 0.10 mm									
Test Time = 5 s	Pre-heat Time = 0 s									
Test Temperature = 235.0 °C	Flux = Pure Rosin									
Comment :										
Description	Results Filename	Tb (s)	T2/3 (s)	F1 (mN)	F2 (mN)	A.U.C. (mNm)	DeWet (m)	Force T/Fmax (mN)	Pass/Fail (s)	
PLCC44	PLCC440001 L01	0.00	0.00	0.35	0.20	2.350	0.0	0.222	4.788	pass



**Documented**  
**Solderability**  
**Test Data**





# **Documented**

## **Acid-Etch Decapsulation & Die Verification**



# Acid-Etch Decapsulation (4 Systems)



**Cyrrix**®

**FasMath**™

**CX83S87-25-JP**

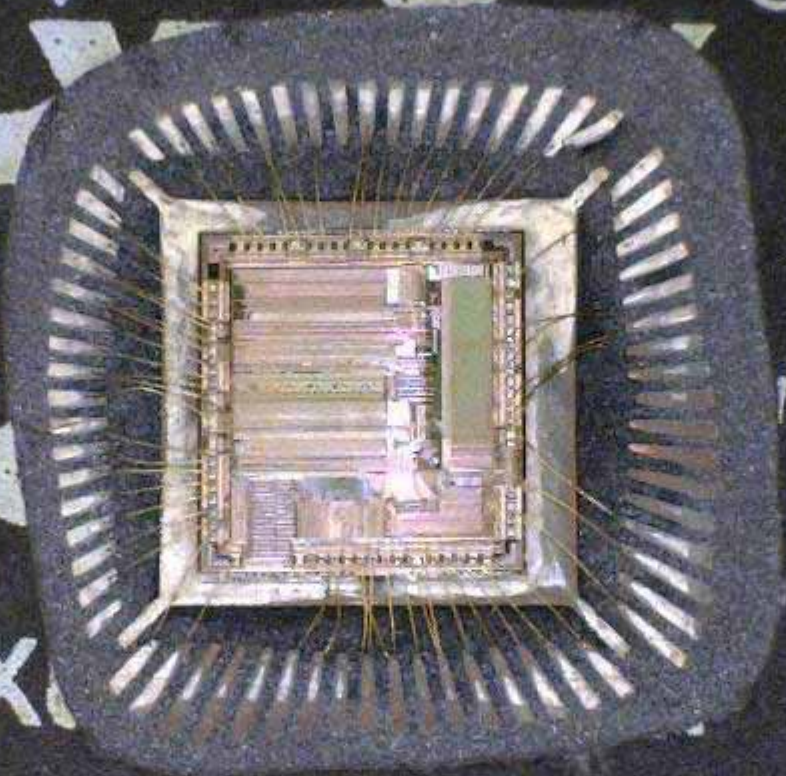
A2CE524A

C



F

CX



A2CE524A



CYRIX CRX-83DS87

© 1991 Ⓜ

XXFX S

30

21

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40  
F

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45  
E

55

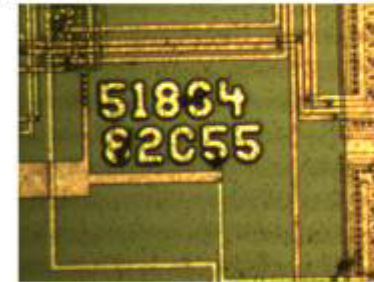
E



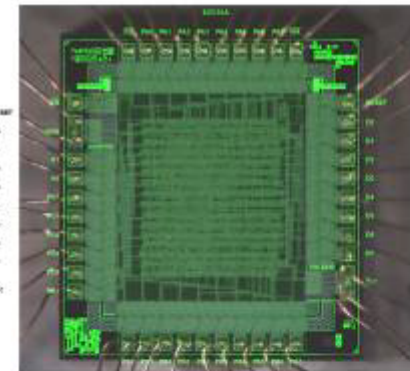
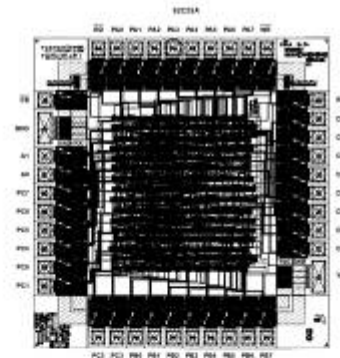
**Documented**

**Die  
Inspection  
Data**

DIE MICROSCOPY (continued)



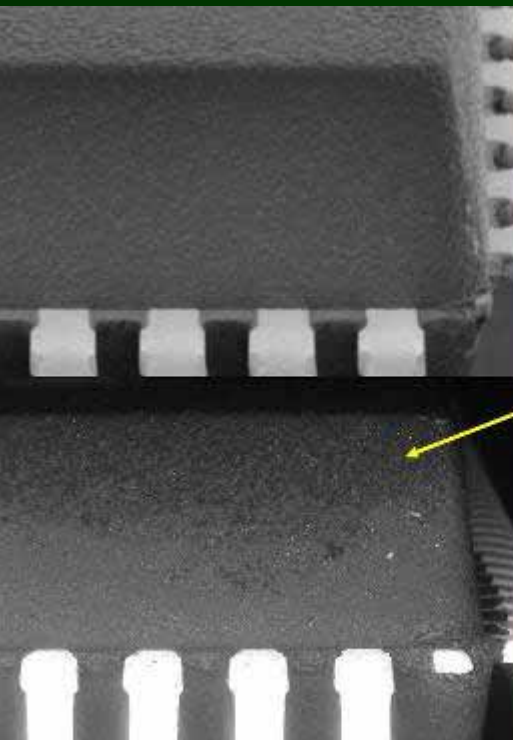
Die photo match up (when metallization image is available)



**DISCLAIMER:** SMT Corp. performs analysis work as a technical service to its customers and extends every effort to report reliable data and an accurate interpretation thereof. However, SMT Corp. agrees only to apply its best professional effort to any work performed. **NO WARRANTY IS EXPRESSED OR IMPLIED REGARDING RESULTS OBTAINED.**



# Scanning Electron Microscope (2 Systems)



**Exemplar  
Device  
Side**

**Overspray  
Visible**

**Suspect  
Device  
Side**







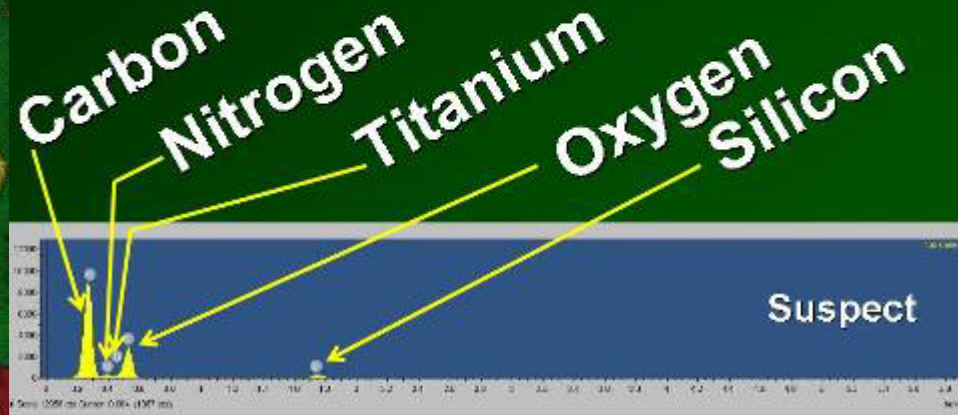
# Energy Dispersive X-Ray (2 Systems)



**Carbon Silicon Antimony Titanium**

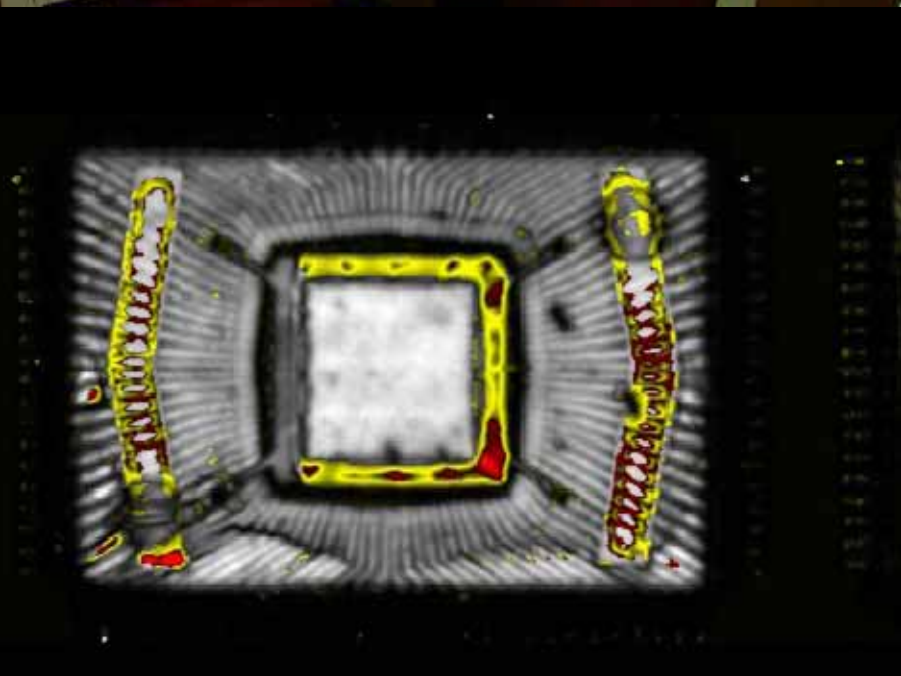
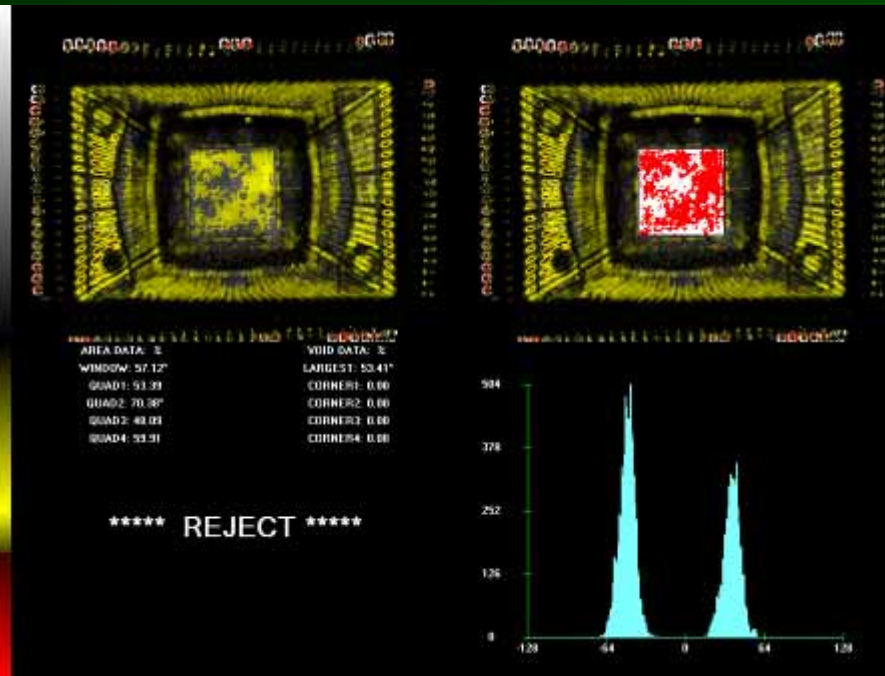
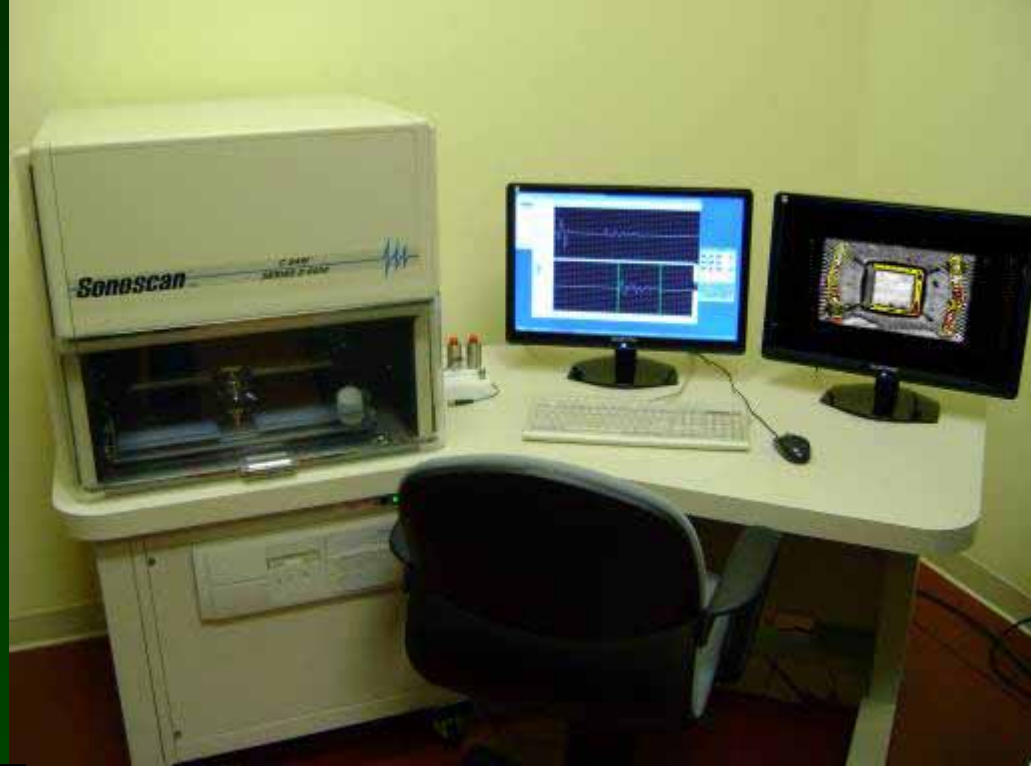


## Spectrum Analysis of the Suspect Device Top Surface Area





# Acoustic Microscopy (1 System)





A copy of the  
**“Certificate of Analysis”**  
document is shipped with  
order to verify quality and  
authentication inspection  
has taken place


  
 SMT Corporation
   
 11-2004 Certified ISO 14001/2004 Certified ISO 9001/2004

**SMT**

**COMPONENT INSPECTION ANALYSIS**

24 North Street, Suite 200, Woburn, MA 01897  
 Tel: 978.233.7400 Fax: 978.233.7404  
[www.smt.com](http://www.smt.com)

SRIT ID #	
Vendor Name	
Vendor Lot #	
Date Received	
Manufacturer	
Part Number	
Description	
Package	
Quantity	
Lot Code	
Date Code	
Inspector	
Date	
Report ID	

Task	Pass	Fail	Inc
<input checked="" type="checkbox"/> Research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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<input checked="" type="checkbox"/> MFG Spec Sheet Comparison	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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<input checked="" type="checkbox"/> Real-Time X-Ray	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> Solderability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> Decapsulation and Die Microscopy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**SUMMARY**

PASSED  
 FAILED  
 INC.

No component attributes test procedures per inspection and 490 parts.

Inspector's name: Kim Costa  
 Jason Romo  
 SMT Corp

Page 1 of 11


  
 SMT Corporation
   
 101-2000 Certified ISO 14001/2004 Certified ISO 9001/2004







Tom Sharpe

VP - SMT Corporation

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